

## Bifurcation in Transport Barrier Formation

A. Fukuyama

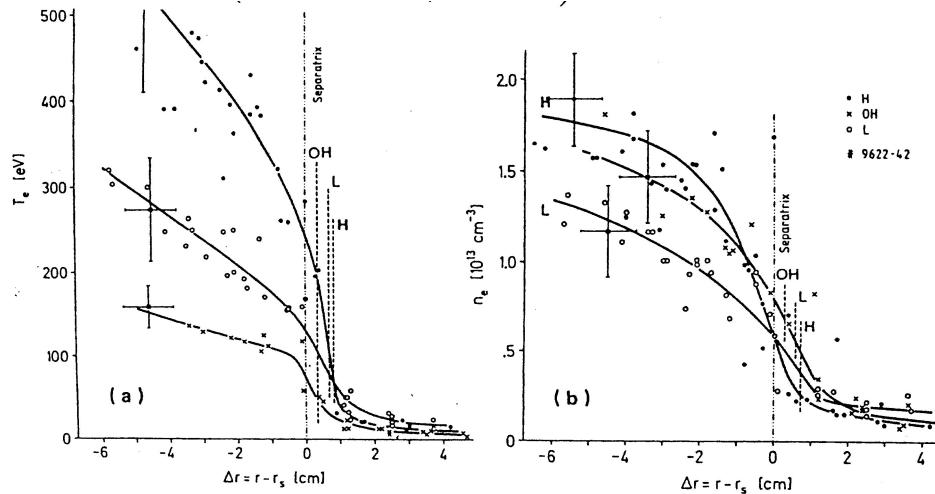
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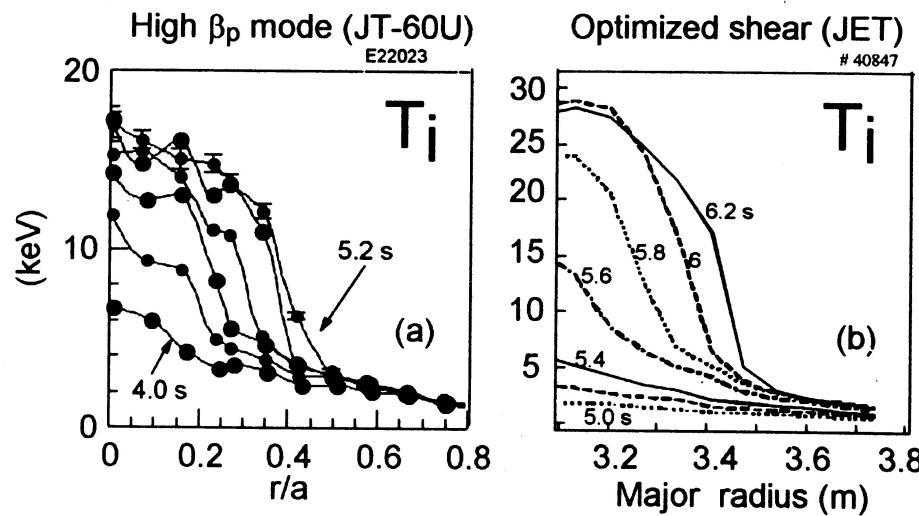
- Transport Barriers in Tokamaks
- Transport Models and Simulation Results
- Possibility of Bifurcation
- Summary

# Improved Confinement and Transport Barrier

- Edge Transport Barrier: H mode (ASDEX, 1982)

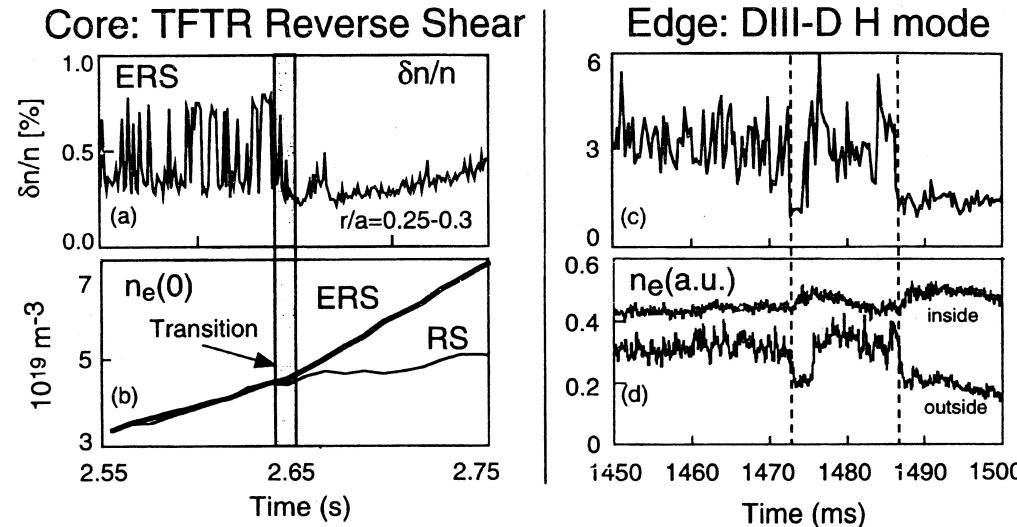


- Internal Transport Barrier: High  $\beta_p$  mode, Negative magnetic shear

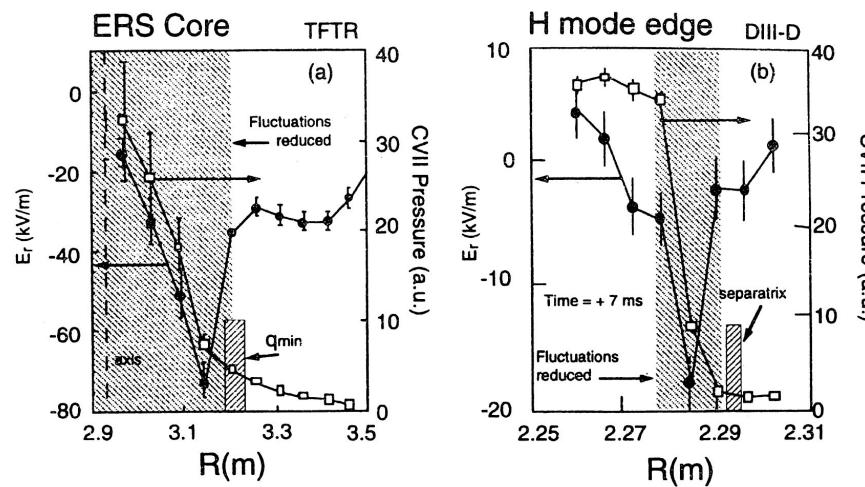


# Suppression of Turbulence and Generation of $E_r$

- Fluctuation Amplitude

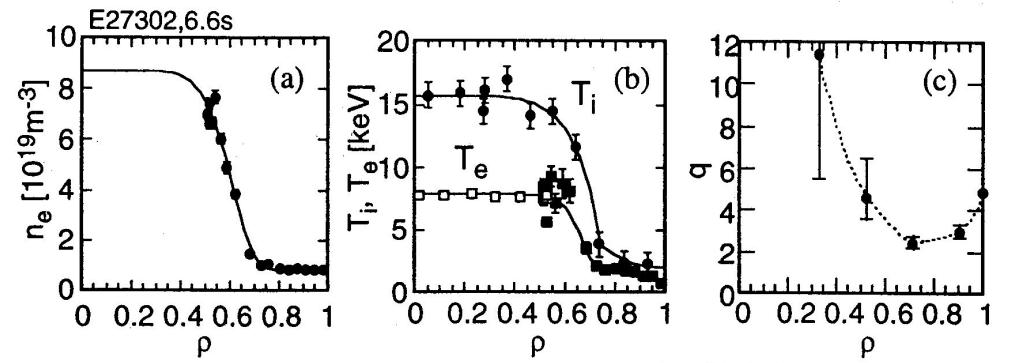
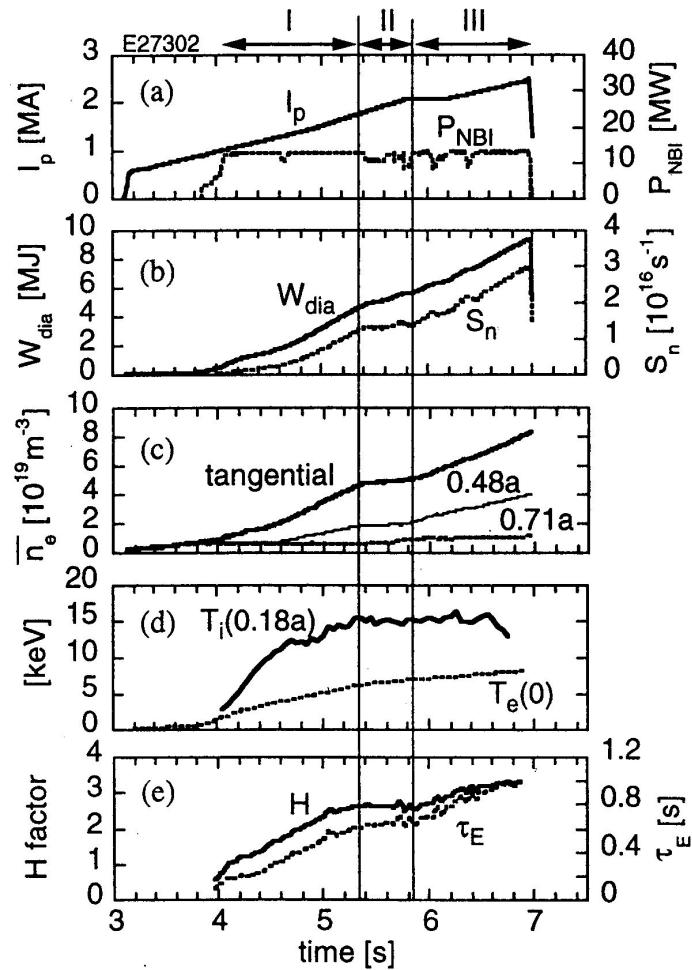


- Radial Electric Field



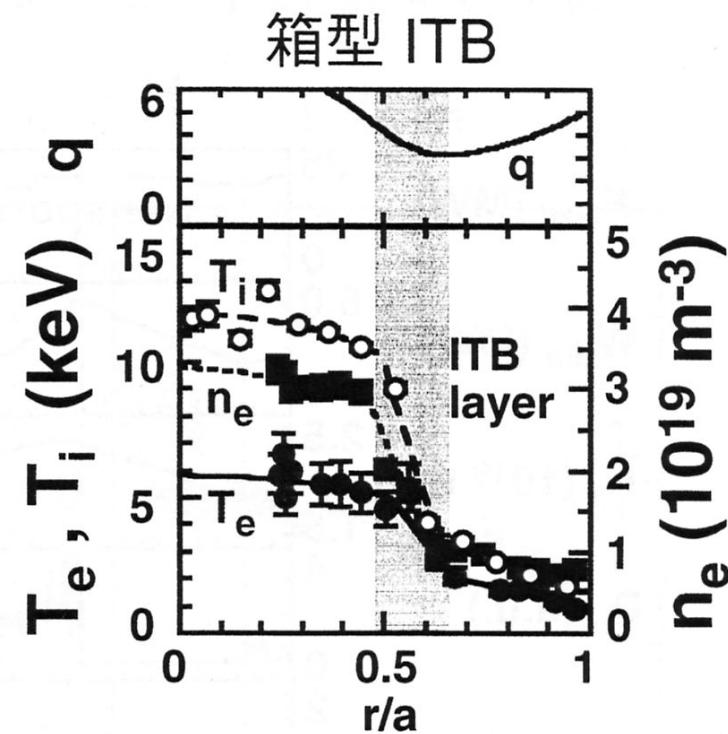
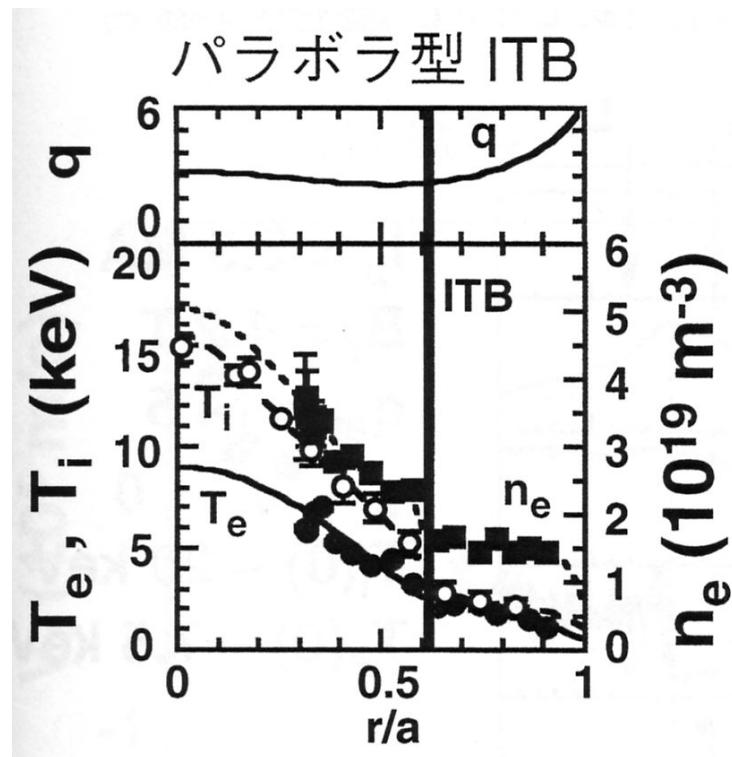
# Negative Magnetic Shear Configuration

- ITB Formation by Current Ramp Up (JT-60U)



# Shape of Internal Transport Barrier

- ITB: High  $\beta_p$  mode and Negative magnetic shear (JT-60U)

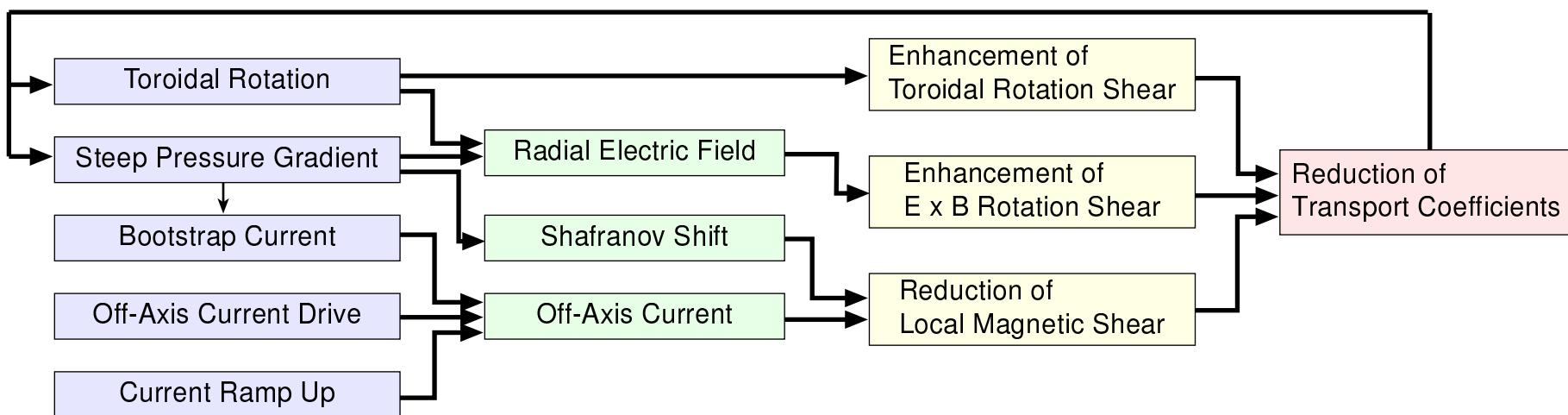


# Physical Mechanism of ITB

- Reduction of Transport Coefficients Due to

- Weak or negative magnetic shear
- Large shift of magnetic axis
- Large rotation velocity shear

- Positive feedback loop to enhance pressure gradient



# Modeling of Transport Barrier Formation

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- Mechanism of Turbulence Suppression

- $E \times B$  rotation shear

- $E_r$  generation through radial force balance

$$E_r = -u_\theta B_\phi + u_\phi B_\theta + \frac{1}{e_s} \frac{d}{dr} P$$

- $E \times B$  shearing rate (Hahm and Burrel)

$$\omega_E = \frac{RB_\theta}{B} \frac{d}{dr} \left( \frac{E_r}{RB_\theta} \right) \frac{k_\theta}{k_r}$$

- Criteria for suppression

$$\omega_E > \gamma_{\text{Lin}}$$

- Magnetic shear  $s$  and normalized pressure gradient  $\alpha$

- Marginal stability of self-sustained turbulence (CDBM model)
  - Thermal diffusivity  $\chi$  as a function of  $s$  and  $\alpha$

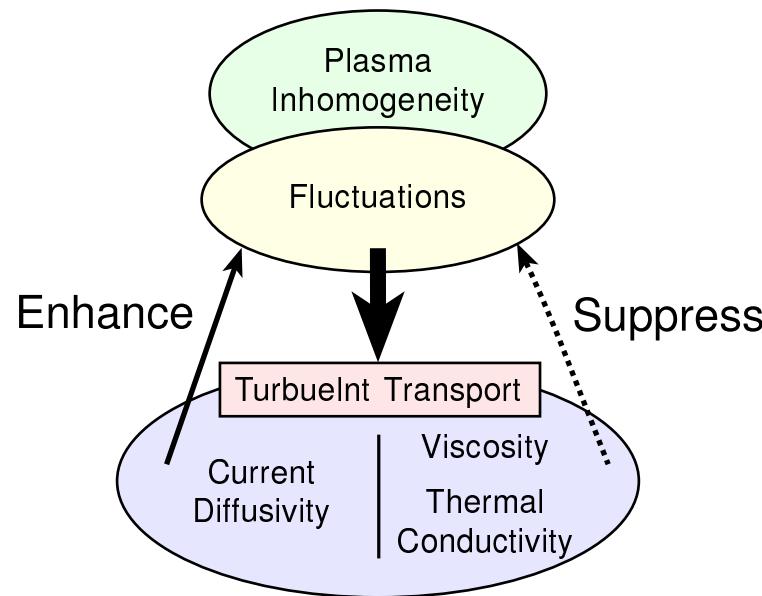
- Positive feedback of pressure gradient increase

- In both models,  $\chi$  depends on the pressure gradient.
  - In some case, not always, increase of pressure gradient reduces  $\chi$ .

# CDBM Transport Model

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- **Self-Sustained Turbulence Theory**
  - Turbulence sustained by the enhancement of transport coefficients due to the turbulence itself



- **Current-Diffusive Ballooning Mode**
  - **Ballooning mode:** MHD mode localized in bad curvature region
    - **Ideal ballooning mode** (second stability)
    - **Resistive ballooning mode** (plasma near edge)
    - **Current-diffusive ballooning mode** (core plasma)

# Self-Sustained Turbulence

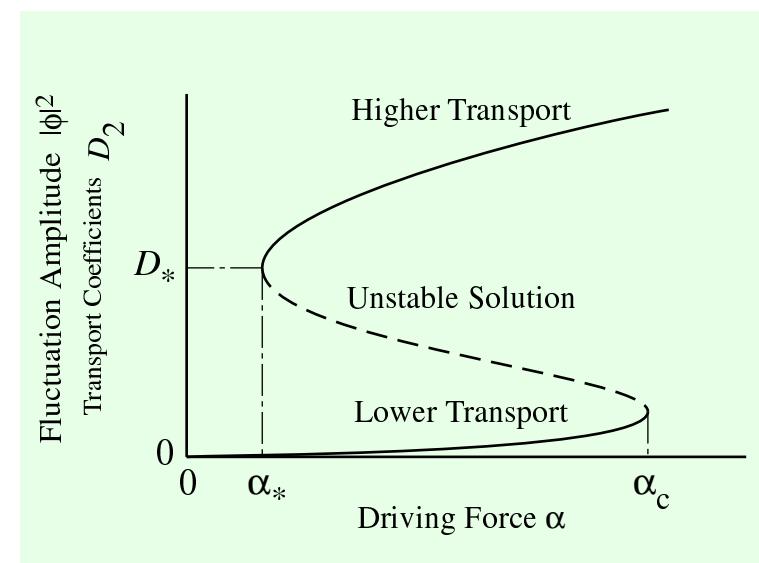
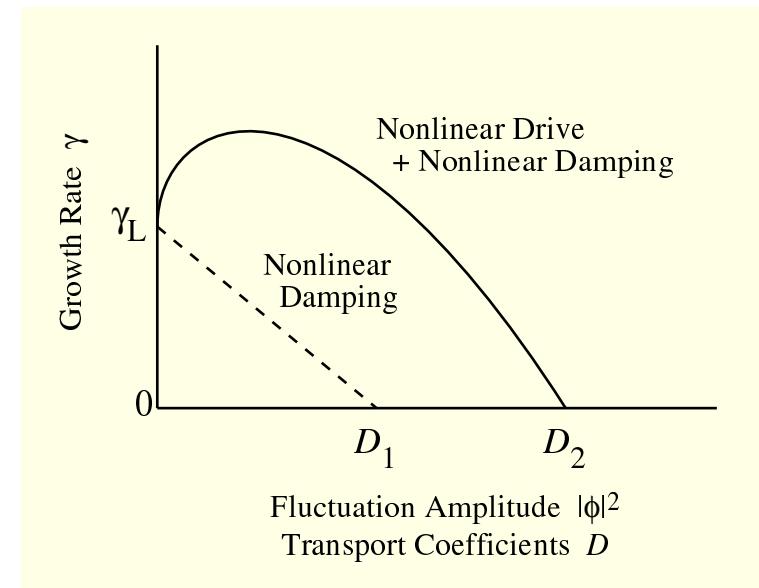
- **Conventional Turbulence**

- Growth of linearly unstable mode
- Saturation due to NL damping
- Transport coefficients proportional to the linear growth rate

- **Self-Sustained Turbulence**

(K. Itoh et al., 1992)

- Weakly unstable in linear stage
- NL dissipation destabilizes the mode.
- Saturation due to the balance between NL drive and NL damping
- Supported by turbulence simulation  
(M. Yagi et al., 1995)



# Basic Equations of CDBM

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- Reduced MHD Equation (One fluid model including transport)

**Equation of motion**  $\frac{\partial}{\partial t} \frac{n_0 m_i}{B_0} \nabla_{\perp}^2 \phi = B_0 \nabla_{\parallel} j_{\parallel} + \nabla p \times \nabla \frac{2r \cos \theta}{R_0} \cdot \hat{z} + \mu \frac{n_0 m_i}{B_0} \nabla_{\perp}^4 \phi$

**Ohm's law**  $\frac{\partial}{\partial t} A = - \nabla_{\parallel} \phi - \eta j_{\parallel} + \lambda \nabla_{\perp}^2 j_{\parallel}$  where  $j_{\parallel} = - \frac{1}{\mu_0} \nabla_{\perp}^2 A$

**Energy equation**  $\frac{\partial}{\partial t} p + \frac{1}{B_0} \nabla \phi \times \nabla p_0 \cdot \hat{z} = \chi \nabla^2 p$

- Transport Coefficients

$\mu$  : Ion viscosity

$\eta$  : Resistivity

$\lambda$  : Current diffusivity

$\chi$  : Thermal diffusivity

$\mu, \lambda, \chi$ : Classical (collisional) + Turbulent (amplitude-dependent)

$\eta$ : Classical (collisional)

- Calculate growth rate for given  $\mu, \lambda, \chi$  (given amplitude)

# Ballooning Mode

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- **Ballooning Mode Equation** (normalized,  $m$ : poloidal mode number)

$$\begin{aligned}\gamma f^2 \phi &= \frac{\partial}{\partial \xi} \frac{f^2}{\gamma + \eta m^2 f^2 + \lambda m^4 f^4} \frac{\partial \phi}{\partial \xi} \\ &\quad - \mu m^2 f^4 \phi + \frac{\alpha}{\gamma + \chi m^2 f^2} [\kappa + \cos \xi + (s\xi - \alpha \sin \xi) \sin \xi] \phi\end{aligned}$$

- Magnetic shear

$$s \equiv \frac{r}{q} \frac{dq}{dr}$$

- Normalized pressure gradient

$$\alpha \equiv -q^2 R \frac{d\beta}{dr}$$

- Magnetic curvature

$$\kappa \equiv -\frac{r}{R} \left( 1 - \frac{1}{q^2} \right)$$

$$q = rB_0/RB_\theta, \quad \beta = 2\mu_0 p_0/B_0^2, \quad f^2 = 1 + (s\xi - \alpha \sin \xi)^2$$

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Current diffusivity  $\lambda m^4$  Destab. Reduce field-line bending effect

Ion viscosity  $\mu m^2$  Stab. Enhance viscosity damping

Thermal diffusivity  $\chi m^2$  Stab. Reduce pressure gradient effect

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# CDBM Turbulence

- Marginal Stability Condition ( $\gamma = 0$ )

$$\chi_{\text{TB}} = F(s, \alpha, \kappa, \omega_{\text{E1}}) \alpha^{3/2} \frac{c^2}{\omega_{\text{pe}}^2} \frac{v_A}{qR}$$

Magnetic shear

$$s \equiv \frac{r}{q} \frac{dq}{dr}$$

Pressure gradient

$$\alpha \equiv -q^2 R \frac{d\beta}{dr}$$

Magnetic curvature

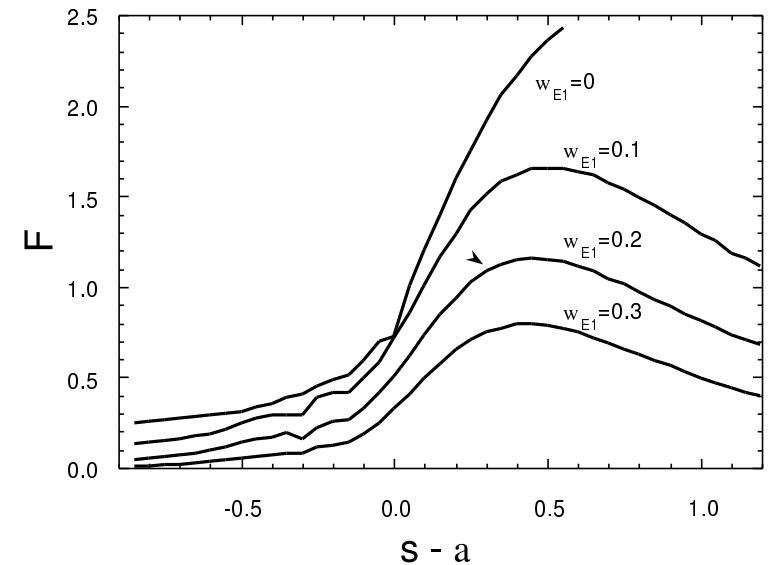
$$\kappa \equiv -\frac{r}{R} \left(1 - \frac{1}{q^2}\right)$$

$E \times B$  rotation shear

$$\omega_{\text{E1}} \equiv \frac{r^2}{sv_A} \frac{d}{dr} \frac{E}{rB}$$

- Weak and negative magnetic shear, Shafranov shift and  $E \times B$  rotation shear reduce thermal diffusivity.

$s - \alpha$  dependence of  $F(s, \alpha, \kappa, \omega_{\text{E1}})$



Fitting Formula

$$F = \begin{cases} \frac{1}{1 + G_1 \omega_{\text{E1}}^2} \frac{1}{\sqrt{2(1 - 2s')(1 - 2s' + 3s'^2)}} \\ \text{for } s' = s - \alpha < 0 \\ \\ \frac{1}{1 + G_1 \omega_{\text{E1}}^2} \frac{1 + 9\sqrt{2}s'^{5/2}}{\sqrt{2}(1 - 2s' + 3s'^2 + 2s'^3)} \\ \text{for } s' = s - \alpha > 0 \end{cases}$$

# Heat Transport Simulation

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- Simple One-Dimensional Analysis
  - No impurity, No neutral, No sawtooth
  - Fixed density profile:  $n_e(r) \propto (1 - r^2/a^2)^{1/2}$
  - Thermal diffusivity (adjustable parameter  $C = 12$ )

$$\begin{aligned}\chi_e &= C\chi_{\text{TB}} + \chi_{\text{NC},e} \\ \chi_i &= C\chi_{\text{TB}} + \chi_{\text{NC},i}\end{aligned}$$

- Transport Equation

$$\frac{\partial}{\partial t} \frac{3}{2} n_e T_e = -\frac{1}{r} \frac{\partial}{\partial r} r n_e \chi_e \frac{\partial T_e}{\partial r} + P_{\text{OH}} + P_{\text{ie}} + P_{\text{He}}$$

$$\frac{\partial}{\partial t} \frac{3}{2} n_i T_i = -\frac{1}{r} \frac{\partial}{\partial r} r n_i \chi_i \frac{\partial T_i}{\partial r} - P_{\text{ie}} + P_{\text{Hi}}$$

$$\frac{\partial}{\partial t} B_\theta = \frac{\partial}{\partial r} \eta_{\text{NC}} \left[ \frac{1}{\mu_0} \frac{1}{r} \frac{\partial}{\partial r} r B_\theta - J_{\text{BS}} - J_{\text{LH}} \right]$$

- Standard Plasma Parameter

$$\begin{aligned}R &= 3 \text{ m} & B_t &= 3 \text{ T} & \text{Elongation} &= 1.5 \\ a &= 1.2 \text{ m} & I_p &= 3 \text{ MA} & n_{e0} &= 5 \times 10^{19} \text{ m}^{-3}\end{aligned}$$

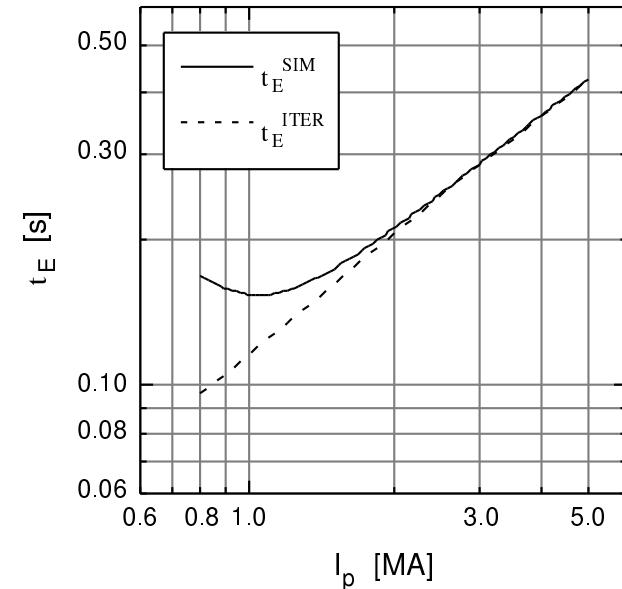
# Simulation of L-mode and Improved Confinement

- **Zero-Dimensional Analysis** with fixed  $F(s, \alpha, \kappa)$  (gyro-Bohm scaling)

$$\tau_E \propto F^{-0.4} A_i^{0.2} I_P^{0.8} n^{0.6} B^0 a^{1.0} R_0^{1.2} P^{-0.6}$$

- Deviation from L-mode scaling at low  $I_p$

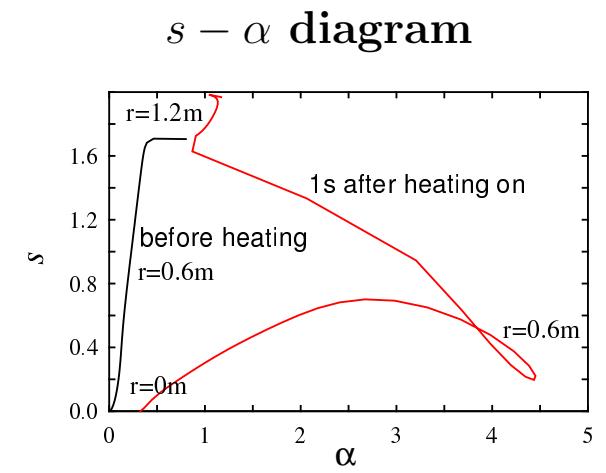
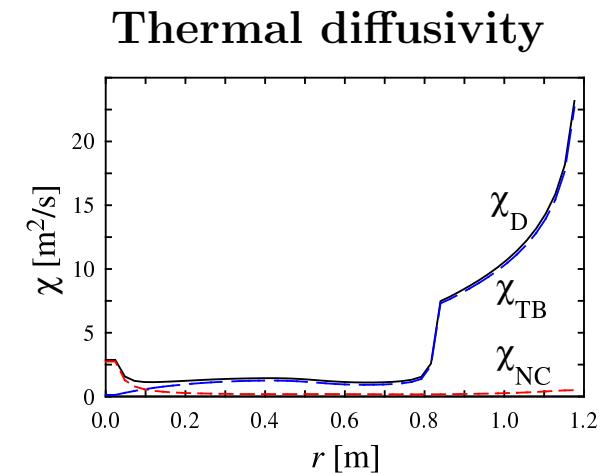
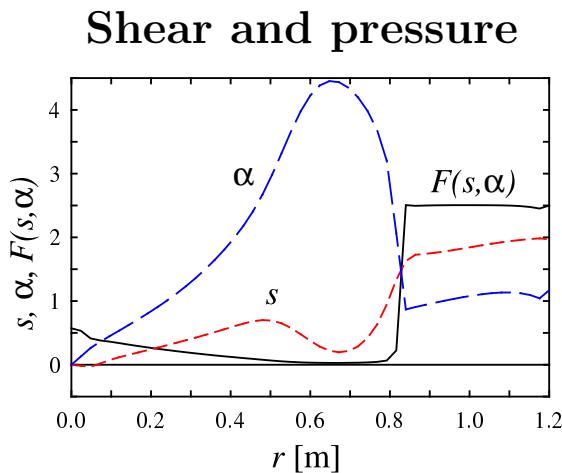
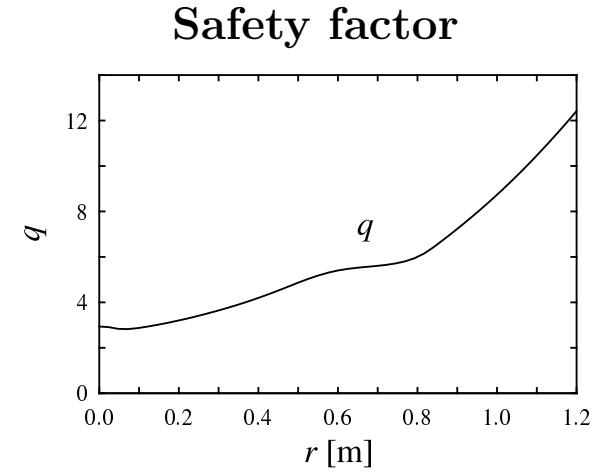
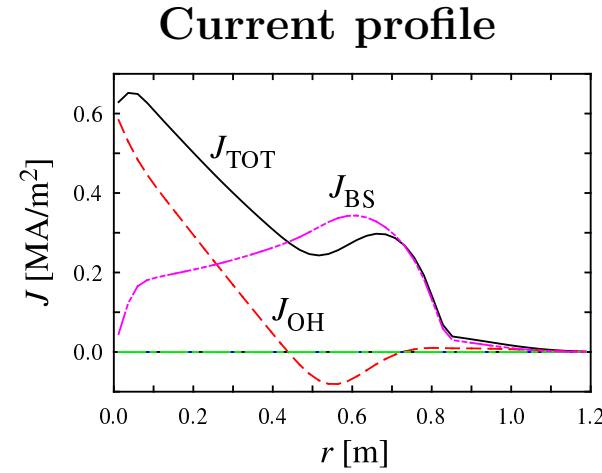
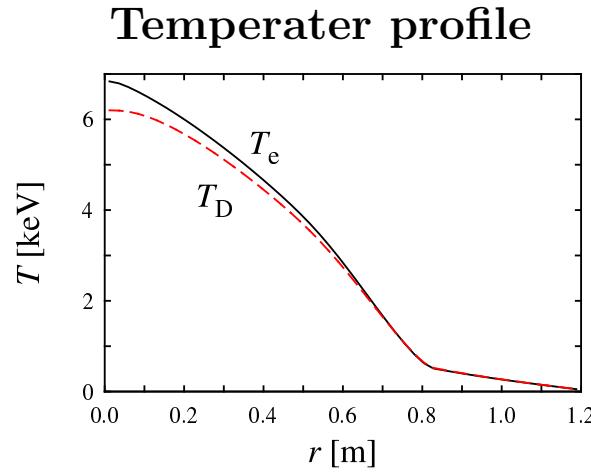
- Increase of  $P_{in}$
- Increase of pressure gradient  
→ Increase of  $\alpha$
- Increase of bootstrap current  
→ Decrease of  $s$
- Reduction of  $\chi$



- Various improved core confinement modes have been reproduced
  - High- $\beta_p$  mode
  - PEP (Pellet Enhanced Performance) mode
  - LHEP (Lower Hybrid Enhanced Performance) mode
  - Negative Magnetic Shear mode

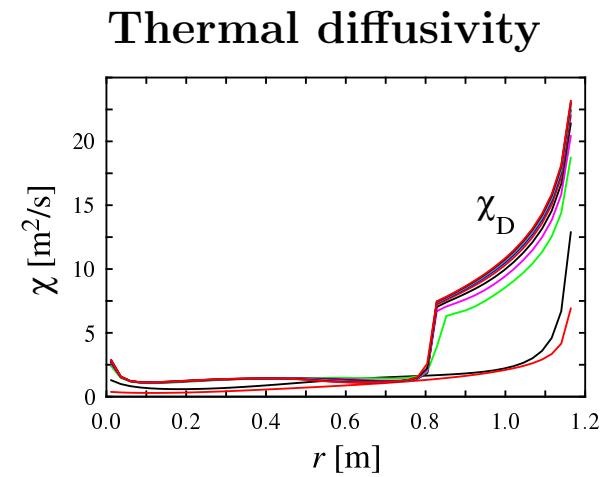
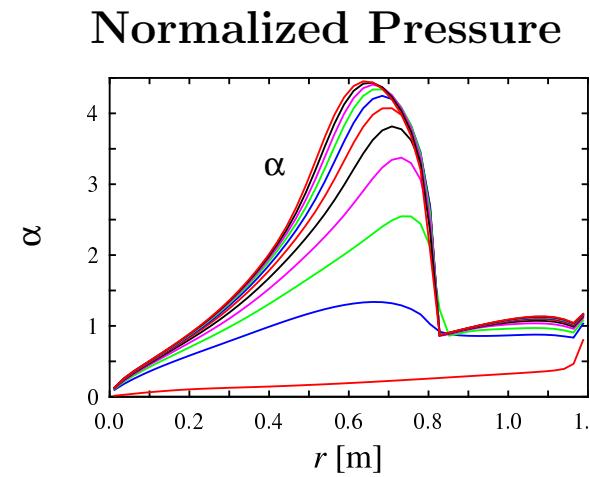
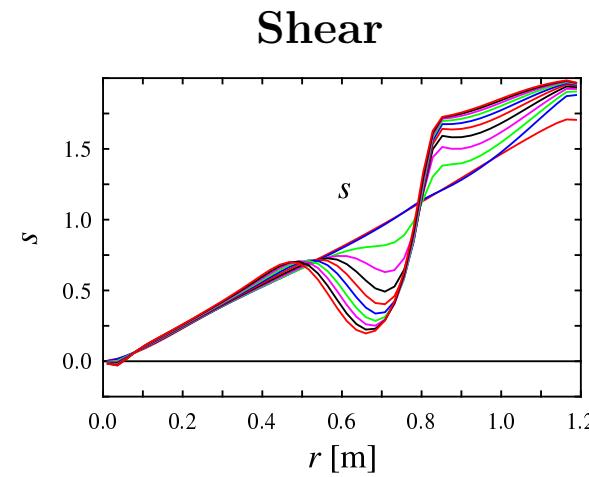
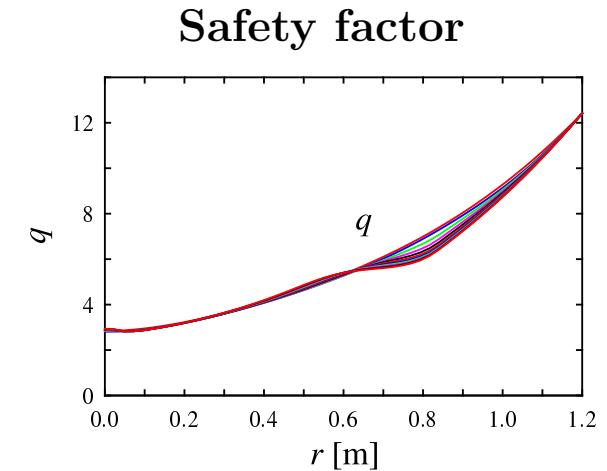
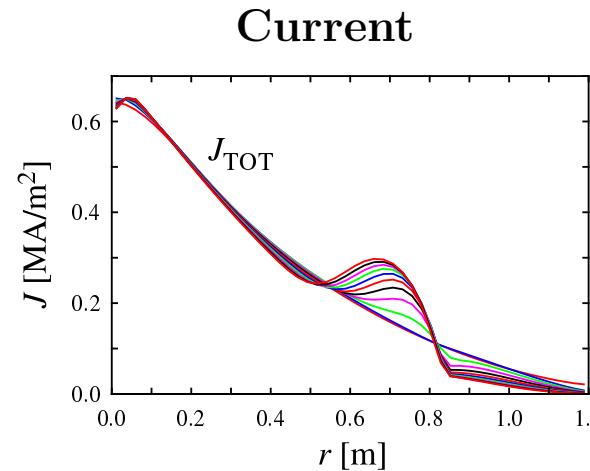
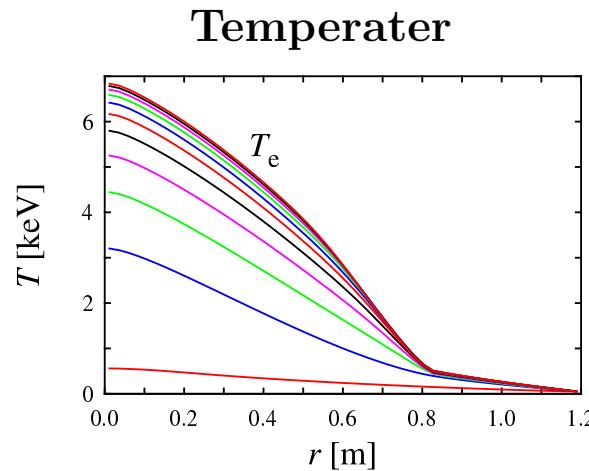
# High $\beta_p$ mode (1)

- $R = 3\text{ m}$ ,  $a = 1.2\text{ m}$ ,  $\kappa = 1.5$ ,  $B_0 = 3\text{ T}$ ,  $I_p = 1\text{ MA}$
- one second after heating power of  $P_H = 20\text{ MW}$  was switched on



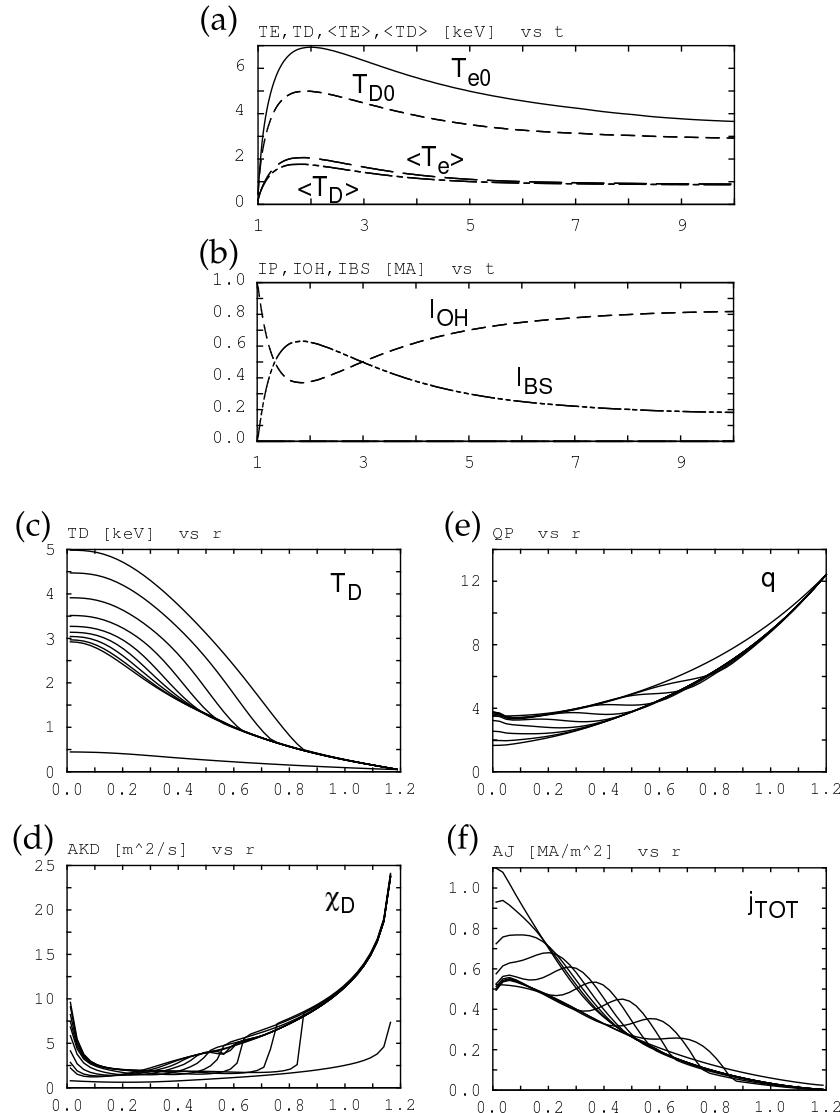
# High $\beta_p$ mode (2)

- Time evolution during the first one second after heating switched on

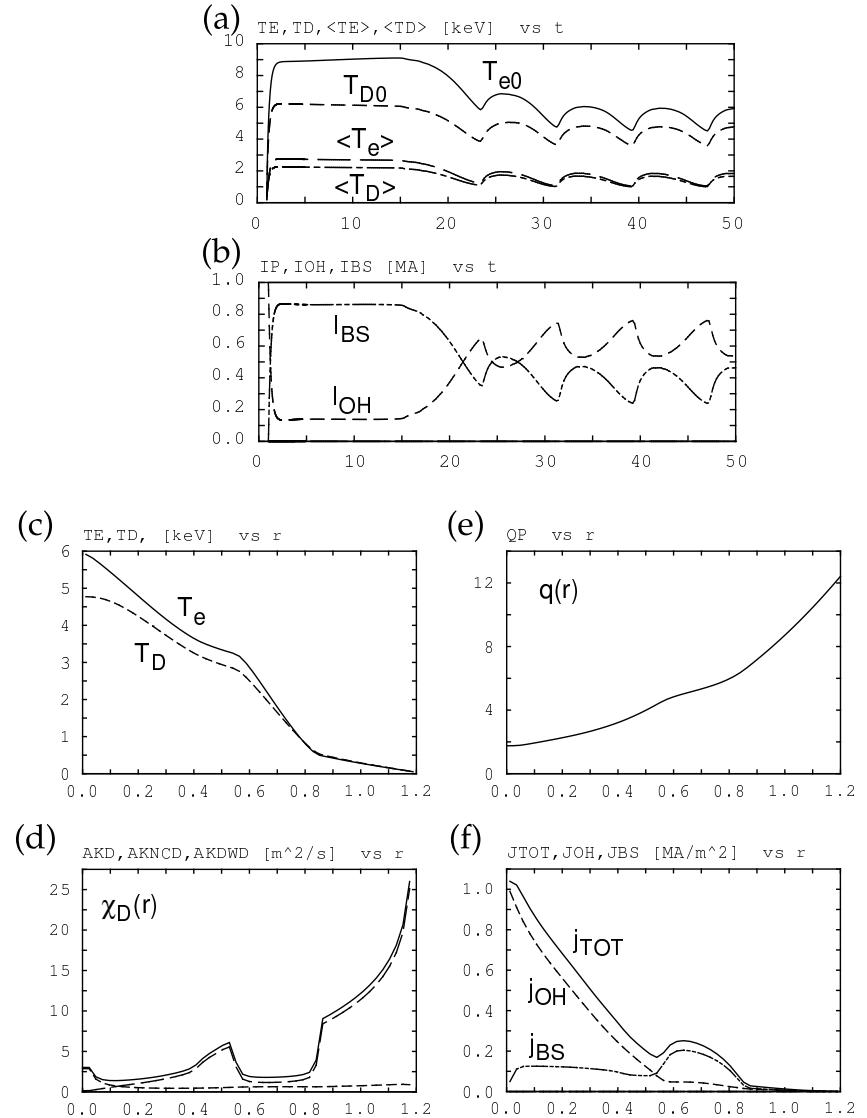


# High $\beta_p$ mode (3)

- $P_H = 20 \text{ MW}$

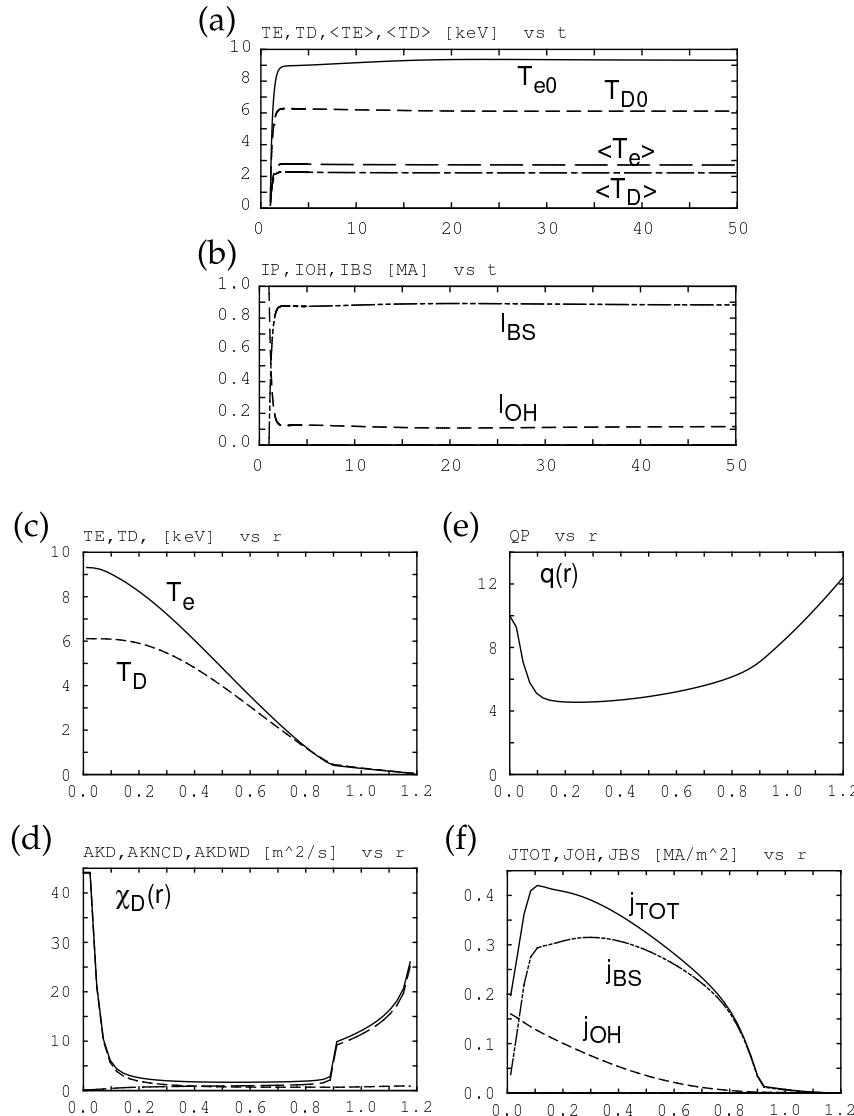


- $P_H = 24.2 \text{ MW}$

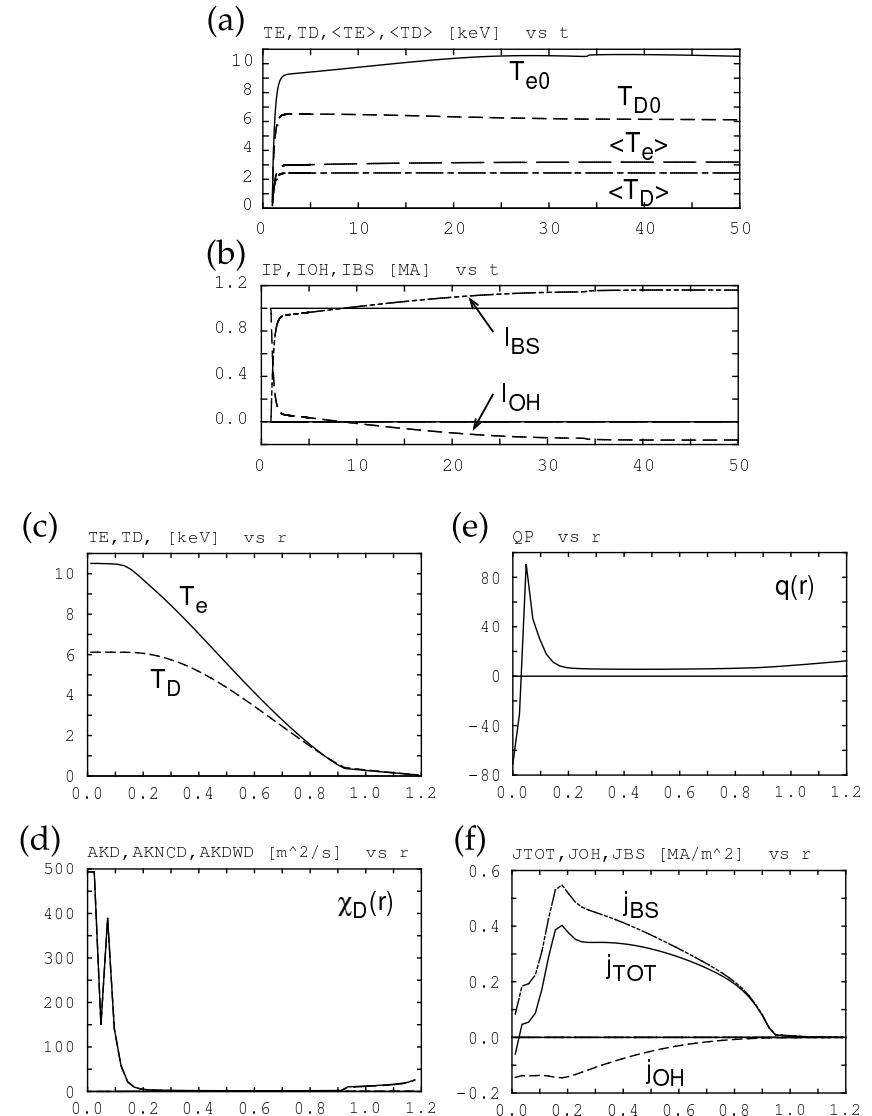


# High $\beta_p$ mode (4)

- $P_H = 24.4 \text{ MW}$



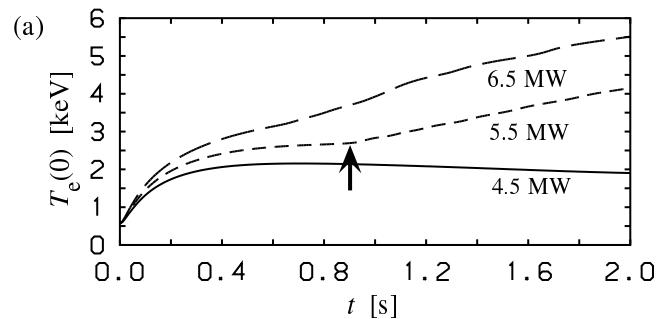
- $P_H = 24.6 \text{ MW}$



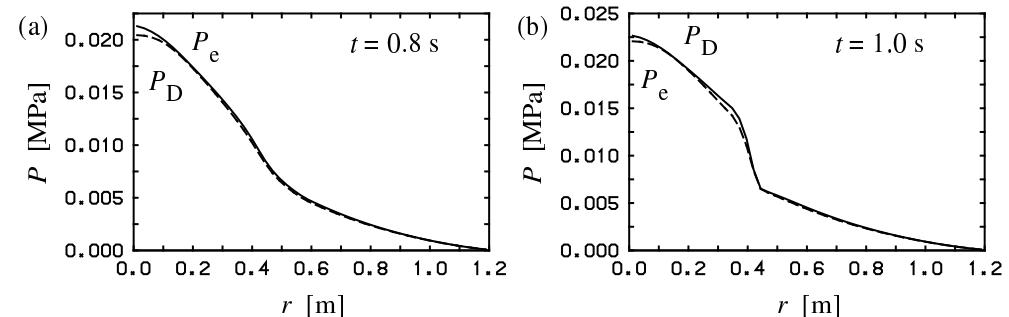
# Effect of $E \times B$ Rotation Shear

- Reduction of transport due to small  $s$  and large  $\alpha$ :  $F(s, \alpha, \kappa)$
- ⇒ Rapid increase of rotation shear:  $1/[1 + G(s, \alpha)\omega_{E1}^2]$
- ⇒ Transition to enhanced ITB

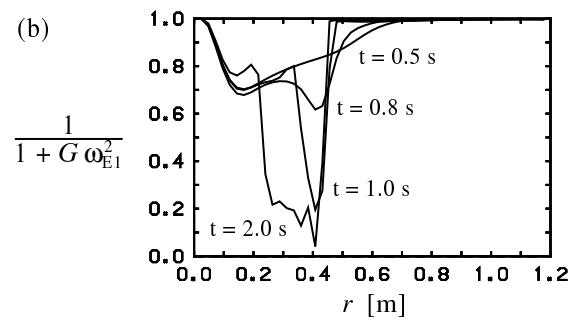
Time evolution of  $T_e(0)$



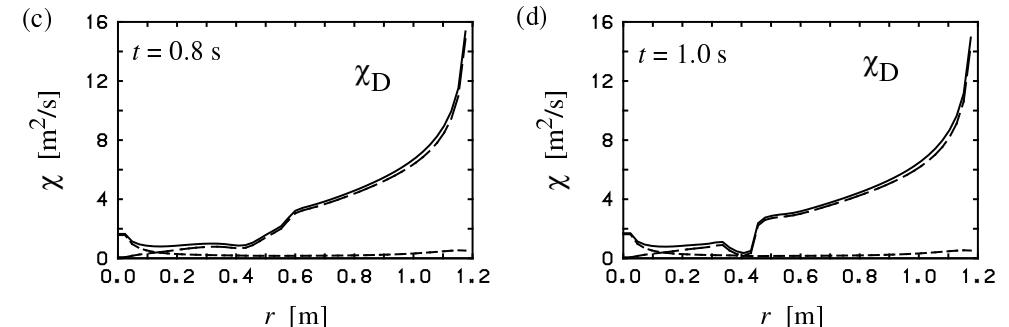
Pressure Profile Before and After Transition



Rapid Change of Rotation Shear

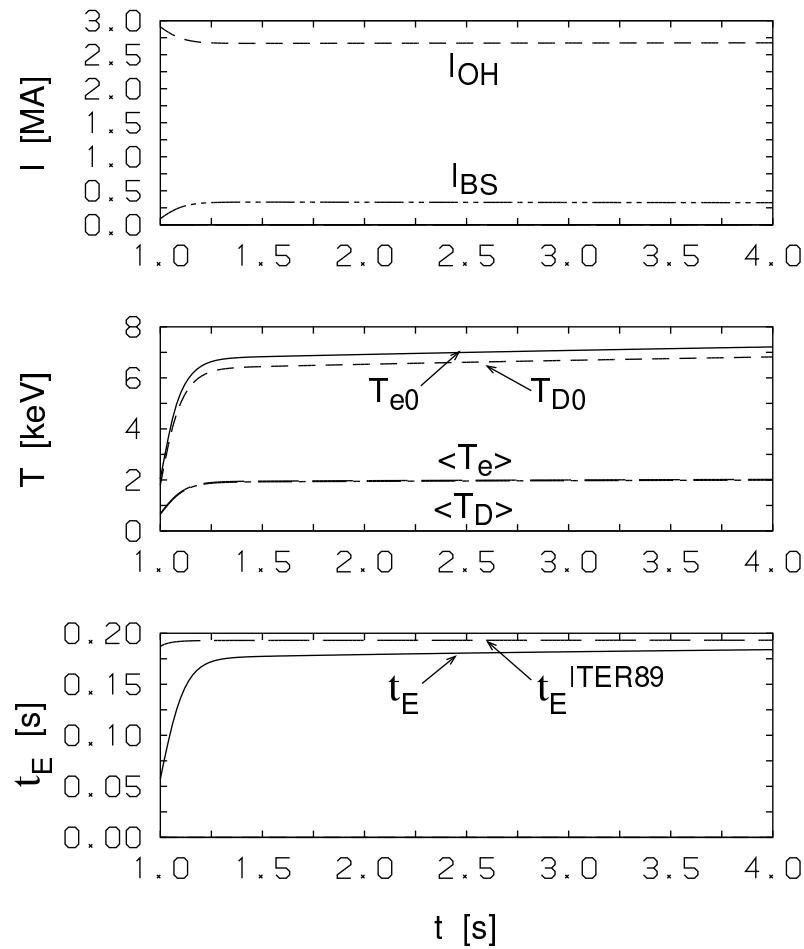


Thermal Diffusivity Before and After Transition

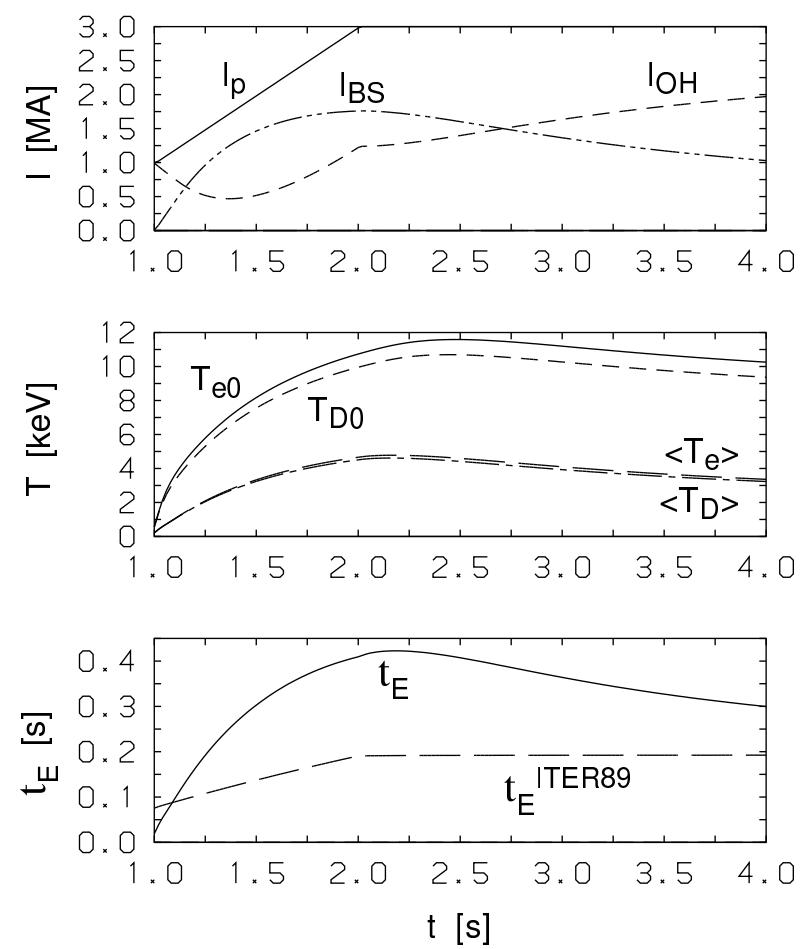


# Simulation of Negative Shear Configuration

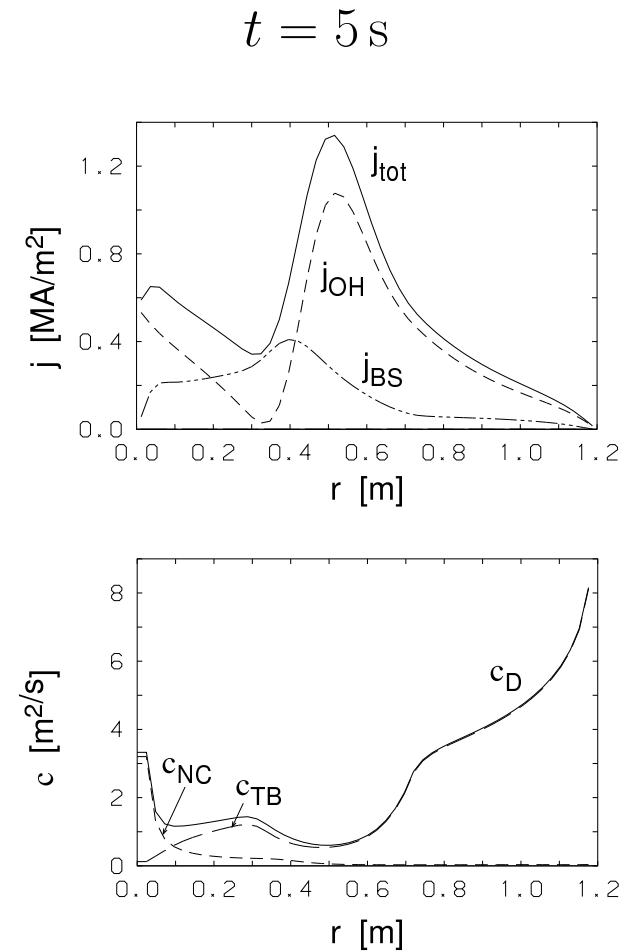
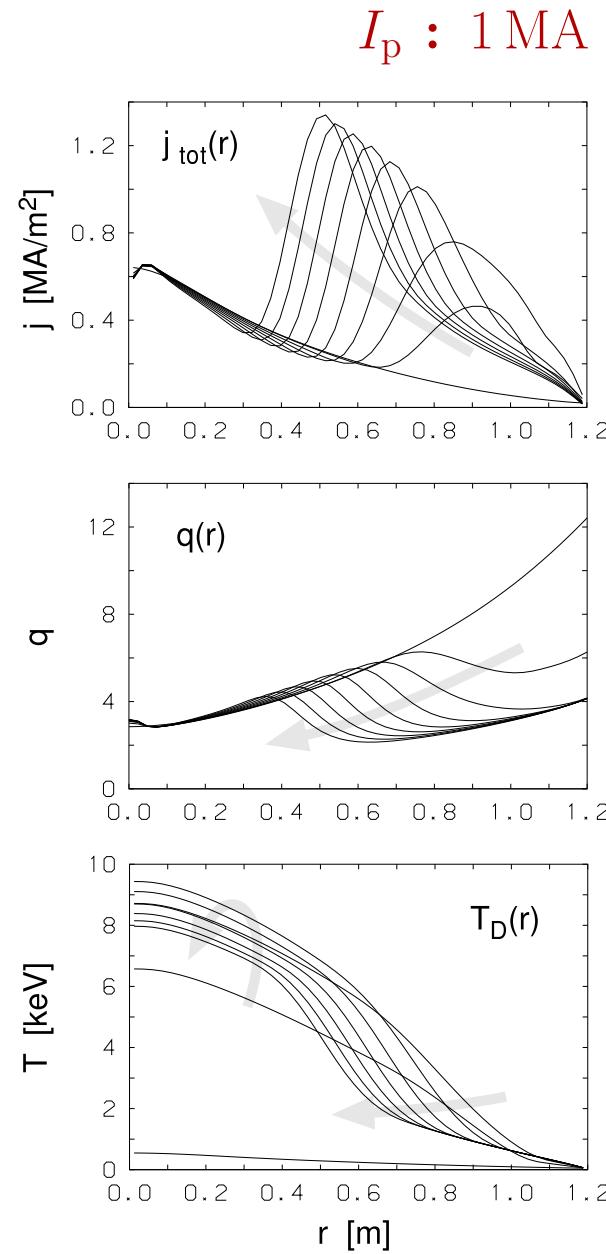
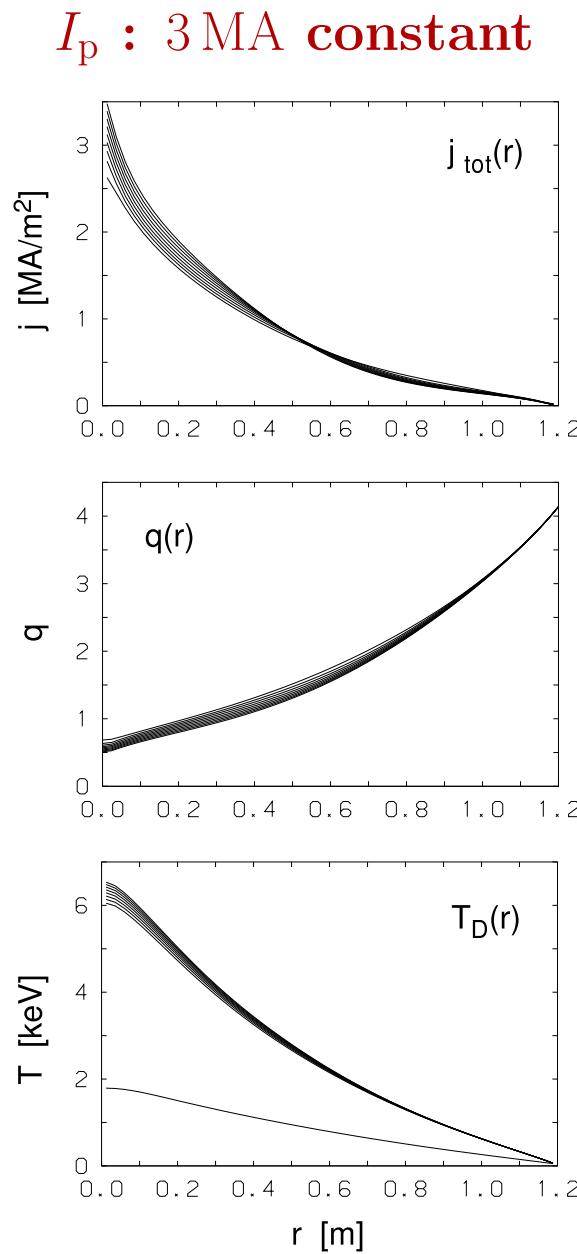
$I_p : 3 \text{ MA constant}$   
**Heating : 20 MW**  
**H factor  $\simeq 0.95$**



$I_p : 1 \text{ MA} \longrightarrow 3 \text{ MA}/1 \text{ s}$   
**Heating : 20 MW**  
**H factor  $\simeq 1.6$**



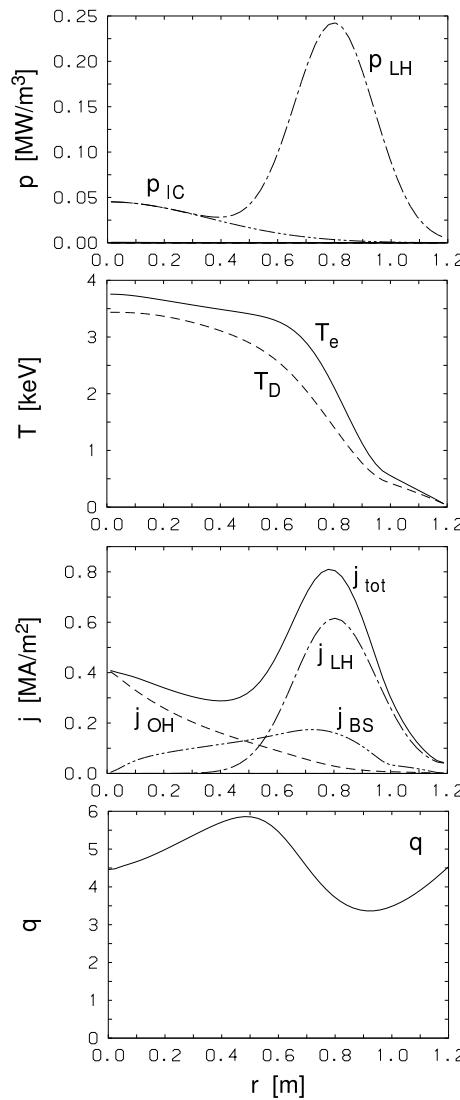
# Evolution of Negative Shear Configuration



# Sustainment of Negative Shear Configuration

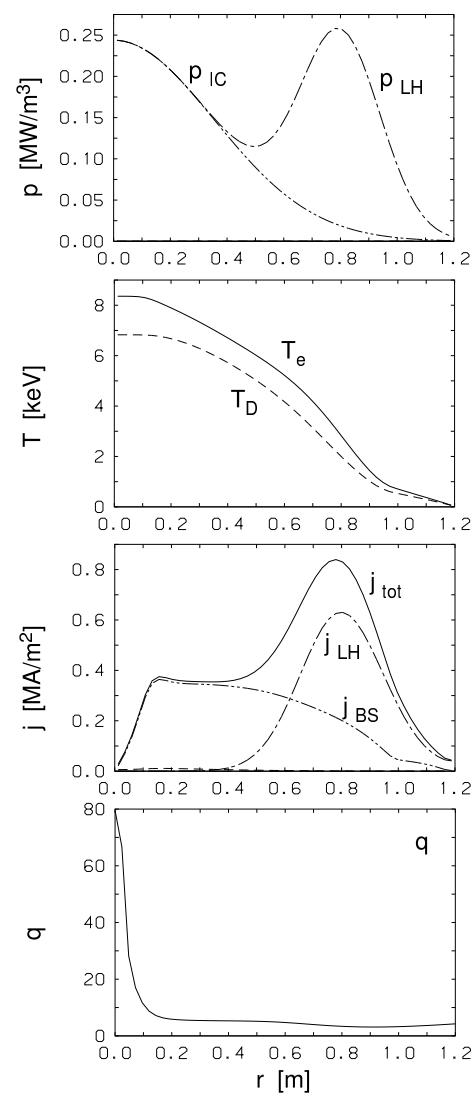
**Off-Axis CD ( $H \simeq 1.2$ )**

$$P_{\text{LH}}/P_{\text{IC}} = 12/2 \text{ MW}$$



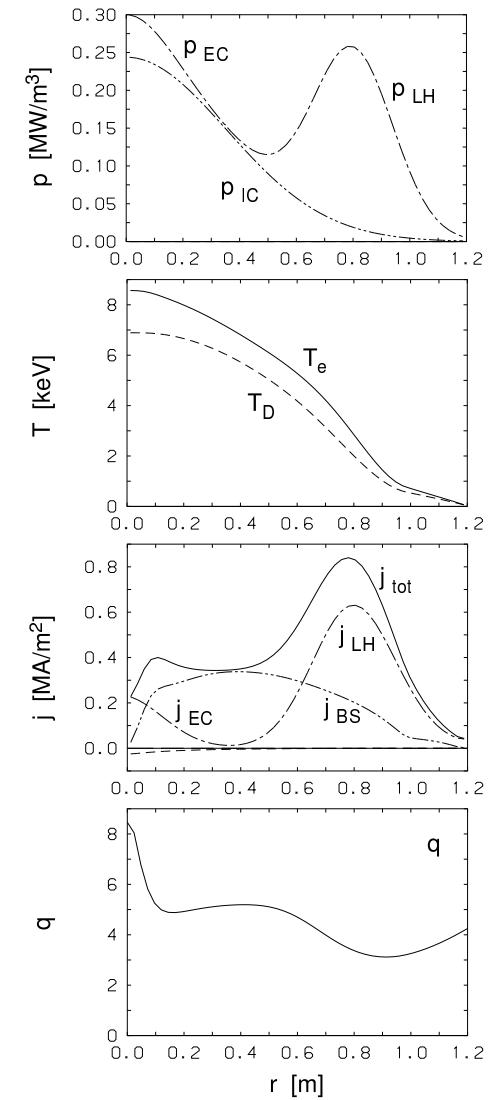
**Full CD ( $H \simeq 1.6$ )**

$$P_{\text{LH}}/P_{\text{IC}} = 12/10.8 \text{ MW}$$



**Full CD + On-Axis CD**

$$P_{\text{LH}}/P_{\text{IC}}/P_{\text{EC}} = 12/10.8/0.2 \text{ MW}$$



# Bifurcation in Transport Barrier Formation

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- Transition in barrier formation is soft or hard?
  - ETB: Fast transition of  $E_r \rightarrow$  hard transition
  - ITB: Experimental observation ?; Theoretical approach
- Analysis of ITB based on CDBM model

- Constraint: Constant heating power  $P_H$  inside ITB

- Proposition: Two stable solutions may coexist?

- Heat flux:

$$q_H = -n\chi \frac{dT}{dr} = \frac{P_H}{4\pi^2 r R}$$

- Pressure gradient:

$$\alpha = -q^2 R \frac{d\beta}{dr} = n q^2 R \frac{2\mu_0}{B^2} \left(1 + \frac{1}{\eta_T}\right) \frac{dT}{dr}, \quad \eta_T = \frac{d \ln T}{d \ln n}$$

- Thermal diffusivity:

$$\chi_{TB} = C \frac{F(s, \alpha)}{1 + G\omega_E^2} \alpha^{3/2} \frac{c^2}{\omega_{pe}^2} \frac{v_A}{qR}$$

# Heat Flux Relation

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- Heat flux relation can be rewritten as

$$\hat{P}_H = [\hat{\chi}_{TB} + \hat{\chi}_{NC}] \alpha$$

- Normalization:  $P_H$  and  $\chi$  are normalized by  $P_{H0}$  and  $\chi_0$

$$P_{H0} = 2\pi^2 \frac{r}{qR} \frac{B^2}{\mu_0} \frac{\eta_T}{1 + \eta_T} \chi_0, \quad \chi_0 = C \frac{c^2}{\omega_{pe}^2} \frac{v_A}{qR}$$

- Therefore

$$\hat{P}_H = \frac{P_H}{P_{H0}}, \quad \hat{\chi}_{TB} = \frac{\chi_{TB}}{\chi_0} = \frac{F(s, \alpha)}{1 + G\omega_E^2} \alpha^{3/2}, \quad \hat{\chi}_{NC} = \frac{\chi_{NC}}{\chi_0}$$

- We plot

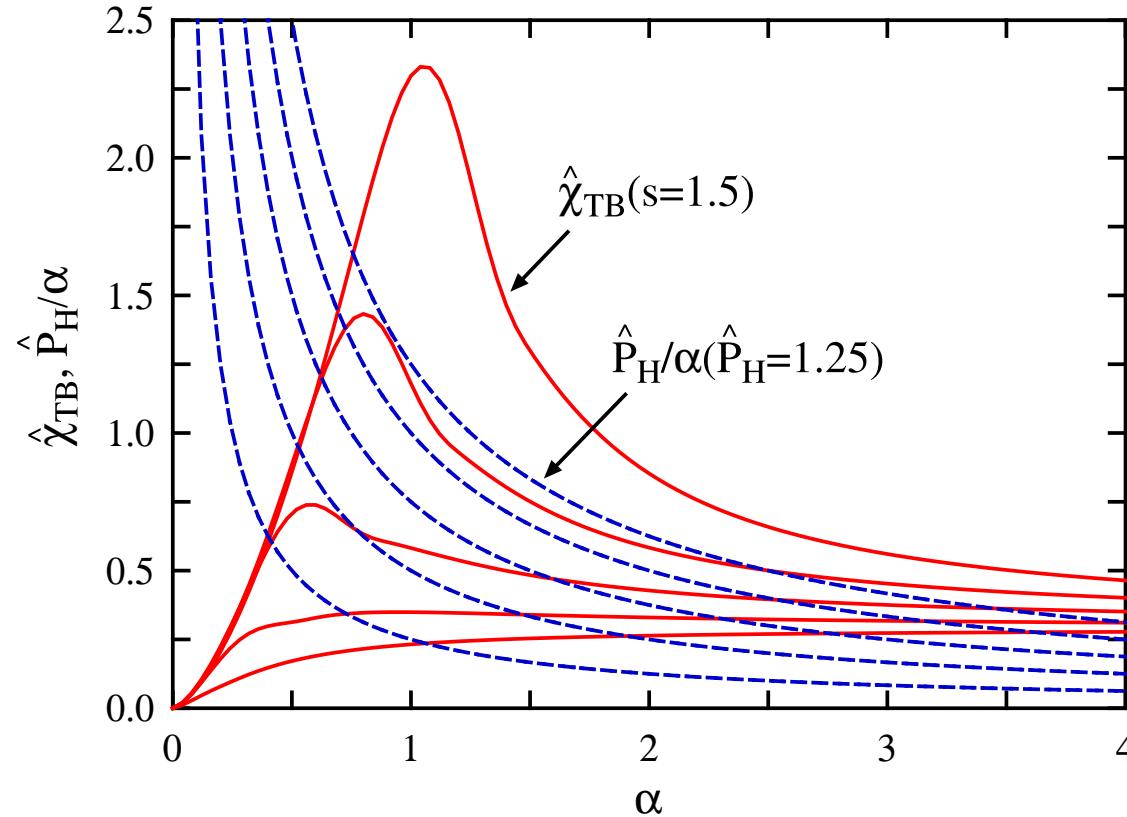
$$\frac{\hat{P}_H}{\alpha} = \hat{\chi}_{TB} + \hat{\chi}_{NC}$$

as a function of  $\alpha$  for various values of  $\hat{P}_H$ ,  $s$  and  $G$ .

# Condition of Bifurcation

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- Effect of Shafranov shift ( $G = 0, \hat{\chi}_{NC} = 0$ )
- $s = 0.3, 0.6, 0.9, 1.2, 1.5, \hat{P}_{H0} = 0.25, 0.5, 0.75, 1.0, 1.25$

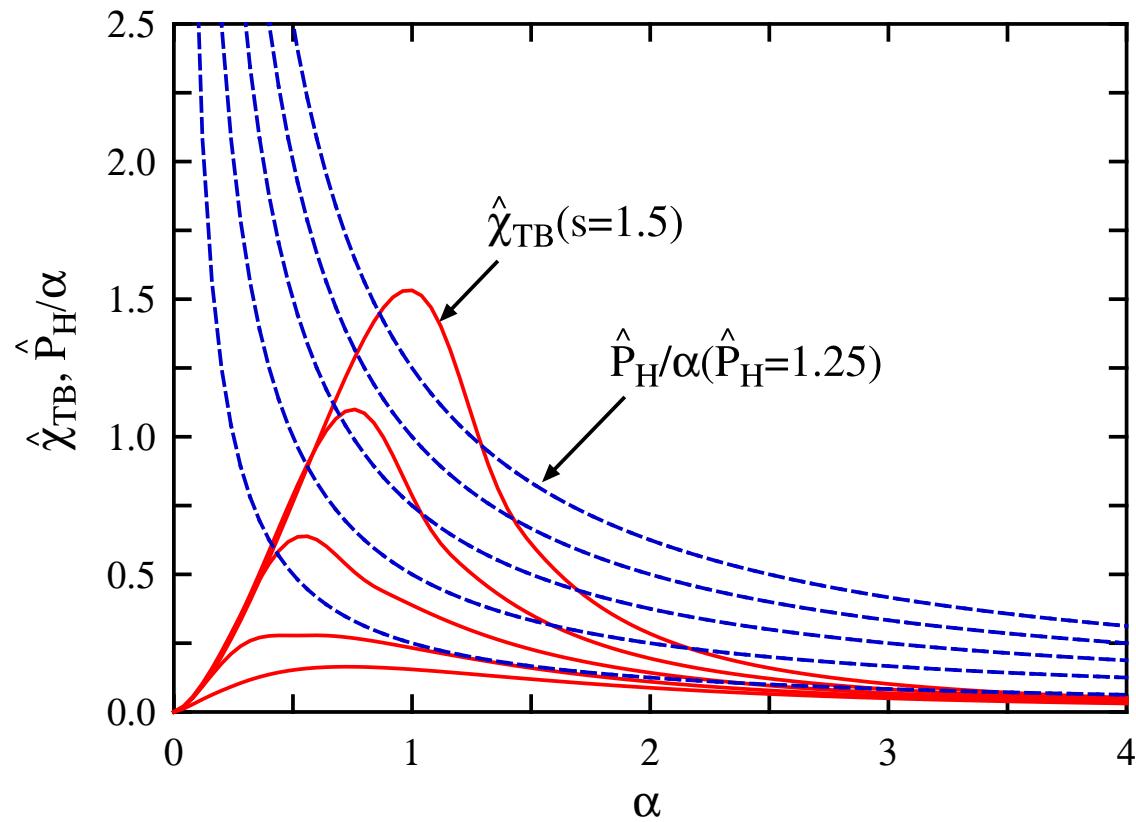


- For  $s > 1.2$ , bifurcation may occur.
- Threshold power:  $\hat{P}_{H0} = 1.25$

# Condition of Bifurcation

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- Effect of  $E \times B$  rotation shear ( $G' = 0.5$ ,  $\hat{\chi}_{NC} = 0$ )
- Approximation:  $G\omega_E^2 \simeq G'\alpha^2$
- $s = 0.3, 0.6, 0.9, 1.2, 1.5$ ,  $\hat{P}_{H0} = 0.25, 0.5, 0.75, 1.0, 1.25$

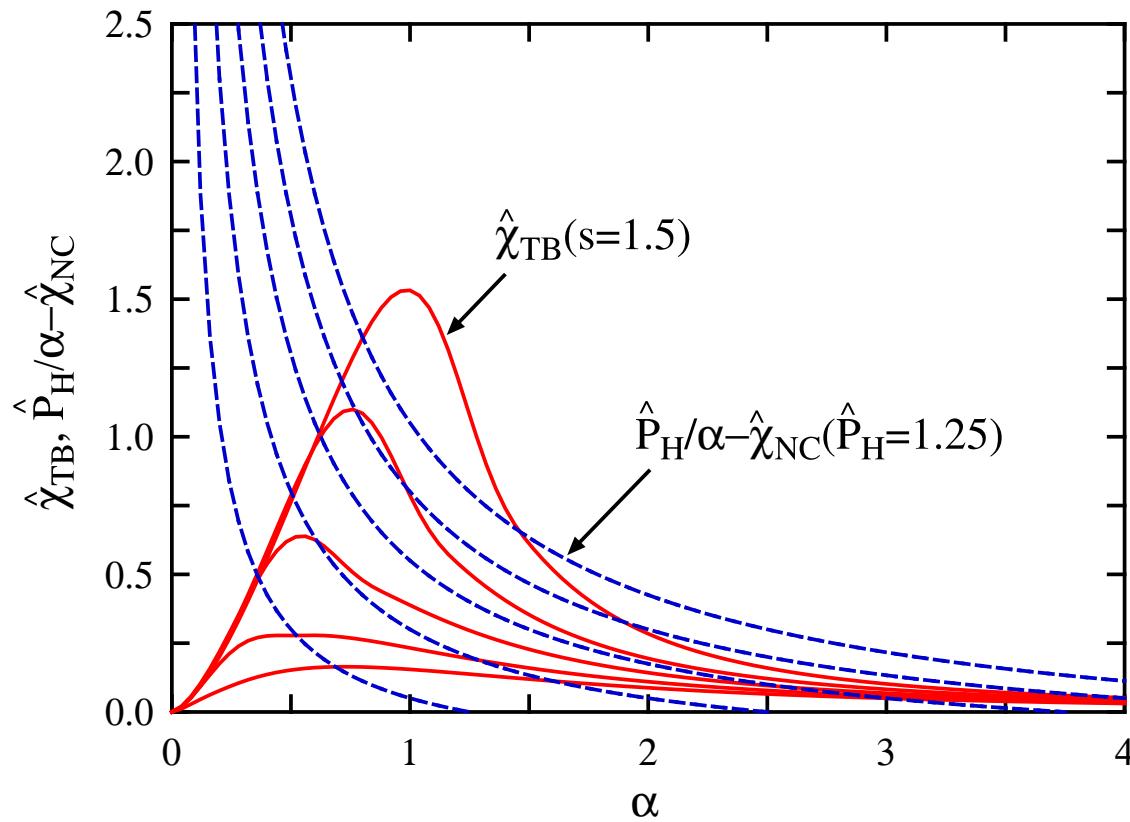


- Thresholds of both  $s$  and  $\hat{P}_{H0}$  are reduced.

# Condition of Bifurcation

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- Effect of  $E \times B$  rotation shear ( $G' = 0.5$ ,  $\hat{\chi}_{NC} = 0.2$ )
- Approximation:  $G\omega_E^2 \simeq G'\alpha^2$
- $s = 0.3, 0.6, 0.9, 1.2, 1.5$ ,  $\hat{P}_{H0} = 0.25, 0.5, 0.75, 1.0, 1.25$



- $\alpha$  after transition is finite but large.

## Summary

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- We have examined the possibility of bifurcation in transport barrier formation based on the CDBM transport model.
- In the high  $\beta_p$  mode, hard transition may occur for  $s \gtrsim 1.2$ .
- The effect of  $E \times B$  rotation shear reduces the threshold of both  $s$  and  $\hat{P}_H$ . The effect of  $\hat{\chi}_{NC}$  has to be taken into account to obtain finite  $\alpha$  solution.
- In the case of low or negative magnetic shear, soft transition is dominant.
- These behaviors are consistent with simulation results.
- How to compare them with experimental observations?