

# Modeling of Transport Barrier Based on Drift Alfvén Ballooning Mode Transport Model

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# Motivation

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- **Development of Robust Transport Model**

- L-mode confinement time scaling
- Large transport near the plasma edge in L-mode
- H-mode confinement time scaling for given edge temperature
- Formation of internal transport barrier
- Profile database (ITPA)
- Behavior of fluctuation

- **Purpose of the present model**

- **To describe both**

- **Electrostatic ITG mode**

- enhanced transport for large ion temperature gradient

- **Electromagnetic Ballooning mode (CDBM)**

- transport reduction for negative  $s - \alpha$ : ITB formation

$$\text{magnetic shear: } s = \frac{r}{q} \frac{dq}{dr}$$

$$\text{pressure gradient (Shafranov shift): } \alpha = -q^2 R \frac{d\beta}{dr}$$

# Turbulent Transport Model

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## **CDBM**

Reduced MHD equation  
Electromagnetic  
Incompressible

## **Toroidal ITG[h**

Ion Fluid Equation  
Electrostatic  
Boltzmann Distribution of Electron

## **Drift Alfvén Ballooning Mode**

Reduced Two-Fluid Equation  
Electromagnetic  
Compressible

- **Small pressure gradient: Electrostatic ITG**
- **Large pressure gradient: Electromagnetic BM**
- **Without drift motion, it reduces to CDBM**
- **Ion parallel viscosity and compressibility destabilizes the mode**
- **$s - \alpha$  dependence similar to the CDBM mode**

# Reduced Two-Fluid Equation (Slab Plasma)

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- **Equation of Vorticity**

$$\begin{aligned} & \left[ \frac{n_i \Lambda_0}{\Omega_i B_0} - \frac{\epsilon_0}{e} - \frac{n_e \Lambda_{0e}}{\Omega_e B} \right] \frac{\partial \nabla_{\perp}^2 \phi_1}{\partial t} + \frac{n_i}{\Omega_i B} \frac{\partial}{\partial t} \left( \frac{\nabla_{\perp}^2 p_{1i}}{q_i n_i} \right) - \frac{n_e}{\Omega_e B} \frac{\partial}{\partial t} \left( \frac{\nabla_{\perp}^2 p_{1e}}{q_e n_e} \right) \\ & - \nabla_{\parallel} (n_i v_{1\parallel i} - n_e v_{1\parallel e}) + \left( \frac{i e n_i \Lambda_{0i} \omega_{*i}}{T_i} + \frac{i e n_e \Lambda_{0e} \omega_{*e}}{T_e} \right) \phi_1 \\ & = \frac{1}{e B} \left( \mathbf{b} \times \boldsymbol{\kappa} + \mathbf{b} \times \frac{\nabla B}{B} \right) \cdot (\nabla p_{1i} + \nabla p_{1e}) \end{aligned}$$

- **Parallel Equation of Motion** ( $j = e, i$ )

$$m_j n_{0j} \frac{\partial v_{1j\parallel}}{\partial t} + \nabla_{\parallel} p_{1j} - q_j n_{0j} E_{1\parallel} = 0$$

- **Equation of State** ( $j = e, i$ )

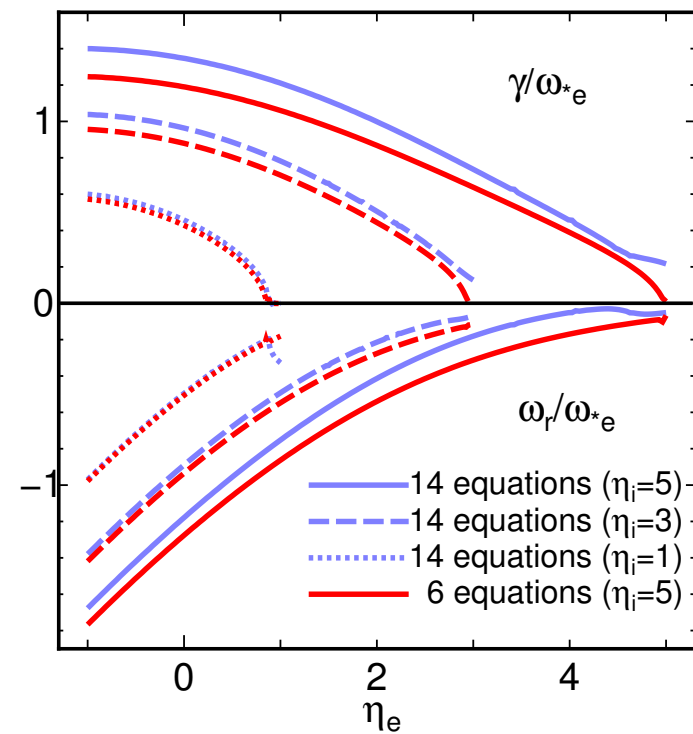
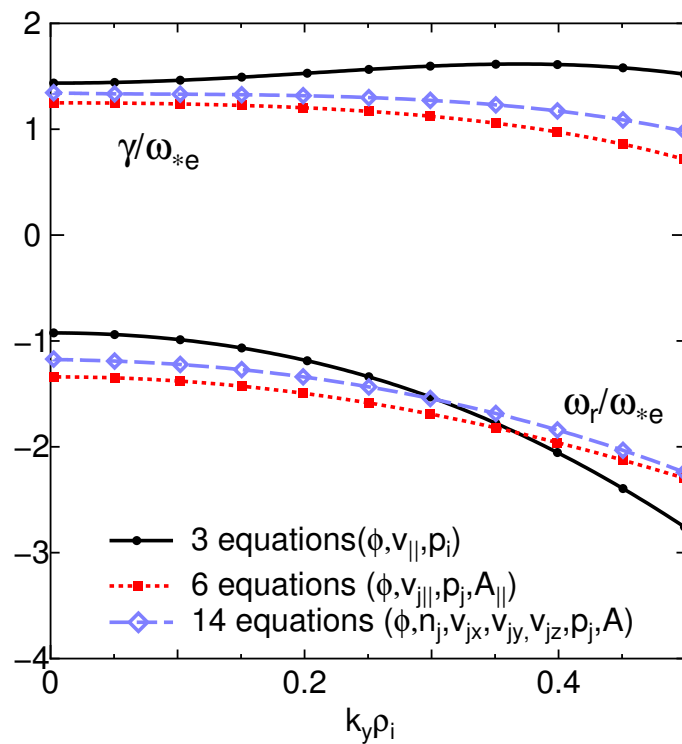
$$\frac{\partial p_{j1}}{\partial t} + \mathbf{v}_{E1} \cdot \nabla p_{j0} + \Gamma_j p_{j0} \nabla_{\parallel} v_{\parallel j1} = 0$$

- **Ampere's Law**

$$\nabla_{\perp}^2 A_{1\parallel} = -\mu_0 \sum_j (n_{0j} q_j v_{1j\parallel})$$

# Linear Analysis: Slab Plasma

- **Slab ITG mode** (Comparison of three models)
  - **Ion Fluid Model** (3 eqs.)
  - **Reduced Two-Fluid Model** (6 eqs.)
  - **Full Two-Fluid Model** (14 eqs.)



- **Reduced two-fluid model is very close to the full two-fluid model.**

# Reduced Two-Fluid Equation (Toroidal Plasma)

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- **Ballooning transformation:**  $\xi$

- **Equation of Vorticity**

$$\begin{aligned} & \frac{-i\omega m^2}{\Omega_i B_0 r^2} f^2 \left( n_{0i} \Lambda_{0i} \phi_1 + \frac{p_{1i}}{q_i} \right) - \frac{-i\omega m^2}{\Omega_e B_0 r^2} f^2 \left( n_{0e} \Lambda_{0e} \phi_1 + \frac{p_{1e}}{q_e} \right) \\ & - \frac{-i\omega e m^2 f^2 \phi_1}{\epsilon_0 r^2} + \frac{B_\theta}{r B_0} \frac{\partial}{\partial \xi} (n_{0i} v_{1j\parallel} - n_{0e} v_{1e\parallel}) - \frac{i m B_\varphi}{e r R_0 B_0^2} H(\xi) (p_{1i} + p_{1e}) = 0 \end{aligned}$$

- **Parallel Equation of Motion** ( $j = e, i$ )

$$-i\omega m_j n_{0j} v_{1j\parallel} + \frac{B_\theta}{r B_0} \frac{\partial p_1}{\partial \xi} + q_j n_{0j} \left( \frac{B_\theta \Lambda_{0j}}{r B_0} \frac{\partial \phi}{\partial \xi} - i\omega_{*aj} A_{\parallel} \right) = 0$$

- **Equation of State** ( $j = e, i$ )

$$-i\omega p_{1j} - i q_j n_{0j} \Lambda_{0j} \omega_{*j} (1 + \eta_j) \phi + \frac{\Gamma_j p_{0j} B_\theta}{r B_0} \frac{\partial v_{1j\parallel}}{\partial \xi} = 0$$

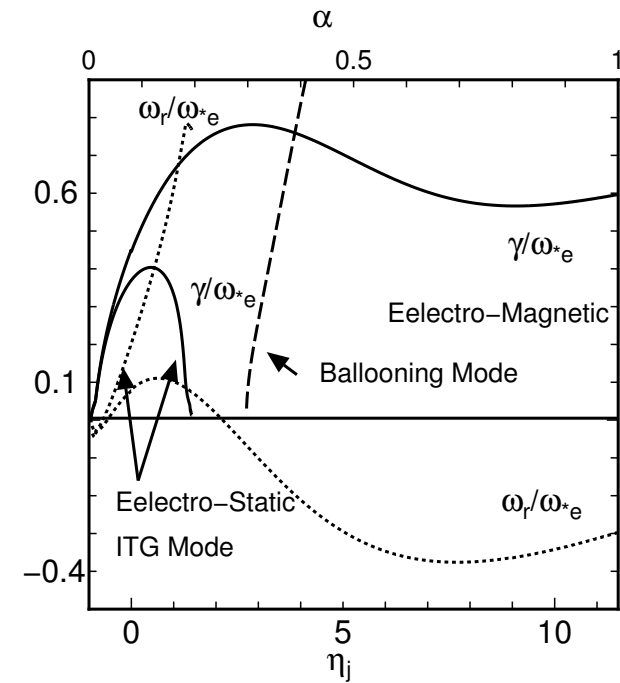
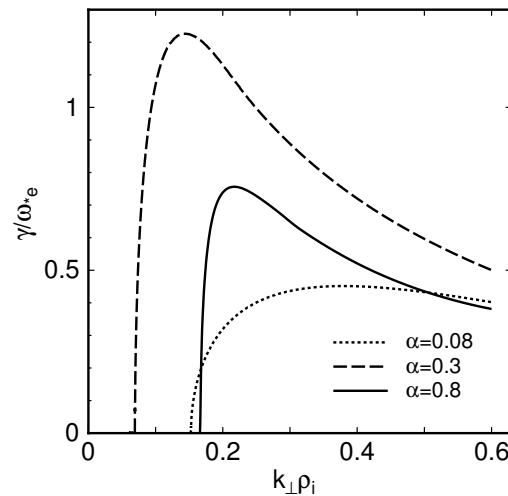
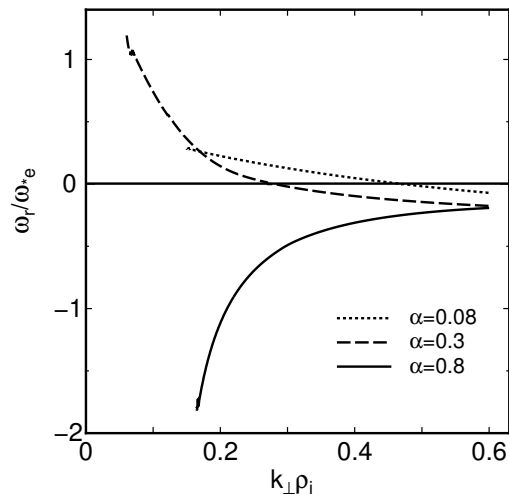
- **Ampere's law**

$$-\frac{m^2}{r^2} f^2 A_{\parallel} = -\mu_0 e (n_{0i} v_{1i\parallel} - n_{0e} v_{1e\parallel})$$

- $H(\xi) \equiv \kappa_0 + \cos \xi + (s\xi - \alpha \sin \xi) \sin \xi$ ,  $f^2(\xi) = 1 + (s\xi - \alpha \sin \xi)^2$

# Linear Analysis: Toroidal Plasma

- **Ballooning mode** (Transition from electrostatic to electromagnetic)
  - **Electrostatic Toroidal ITG Mode**
  - **Electromagnetic Ballooning Mode**



- **Electromagnetic effect becomes dominant for large  $\eta_i$  or  $\alpha$**

# Nonlinear Reduced Two-Fluid Equation (Toroidal Plasma)

- **Turbulent transport coefficients are included.**

$$\frac{d}{dt}X_j \rightarrow -i\omega X_j - \chi_j \nabla_{\perp}^2 X_j, \quad \chi_j = \frac{\langle \phi_1^2 \rangle}{\gamma_{nj}} \sim \sqrt{\langle \phi_1^2 \rangle}$$

- **Equation of Vorticity:**

$$\begin{aligned} & \left[ \left( -i\omega + \mu_i \frac{m^2 f^2}{r^2} \right) \frac{n_{0i}}{\Omega_i B_0} - \frac{-i\omega e}{\epsilon_0} - \left( -i\omega + \mu_e \frac{m^2 f^2}{r^2} \right) \frac{n_{0e}}{\Omega_e B_0} \right] \frac{m^2 f^2}{r^2} \phi_1 \\ & + \left( -i\omega + \chi_i \frac{m^2 f^2}{r^2} \right) \frac{1}{\Omega_i B_0} \frac{m^2}{r^2} f^2 \frac{p_{1i}}{q_i} - \left( -i\omega + \chi_e \frac{m^2 f^2}{r^2} \right) \frac{1}{\Omega_e B_0} \frac{m^2}{r^2} f^2 \frac{p_{1e}}{q_e} \\ & + \frac{B_{\theta}}{r B_0} \frac{\partial}{\partial \xi} (n_{0i} v_{1j\parallel} - n_{0e} v_{1e\parallel}) - \frac{i m B_{\varphi}}{e r R_0 B_0^2} H(\xi) (p_{1i} + p_{1e}) = 0 \end{aligned}$$

- **Parallel Equation of Motion**

$$m_j n_{0j} \left( -i\omega + \mu_j \frac{m^2 f^2}{r^2} \right) v_{1j\parallel} + \frac{B_{\theta}}{r B_0} \frac{\partial p_1}{\partial \xi} + q_j n_{0j} \left( \frac{B_{\theta} \Lambda_{0j}}{r B_0} \frac{\partial \phi}{\partial \xi} - i\omega_{*aj} A_{\parallel} \right) = 0$$

- **Equation of State**

$$\left( -i\omega + \chi_j \frac{m^2 f^2}{r^2} \right) p_{1j} - i q_j n_{0j} \Lambda_{0j} \omega_{*j} (1 + \eta_j) \phi + \frac{\Gamma_j p_{0j} B_{\theta}}{r B_0} \frac{\partial v_{1j\parallel}}{\partial \xi} = 0$$



- **Ampere's Law**

$$-\frac{m^2}{r^2} f^2 A_{\parallel} = -\mu_0 e (n_{0i} v_{1i\parallel} - n_{0e} v_{1e\parallel})$$


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- **CDBM Eigenmode Equation**

$$\frac{\partial}{\partial \xi} \frac{\gamma f}{\gamma + \eta_r m^2 f + \lambda m^4 f^2} \frac{\partial \phi_1}{\partial \xi} - (\gamma^2 f + \gamma \mu m^2 f) \phi_1 + \frac{\alpha \gamma}{\gamma + \chi m^2 f} H(\xi) \phi = 0$$

Marginal Stability Condition ( $\gamma = 0$ )

$$\frac{1}{\lambda} \frac{\partial^2 \phi}{\partial \xi^2} - \mu m^6 f^3 \phi + \frac{\alpha m^2 f}{\chi} H(\xi) \phi = 0$$

- **Low  $\beta$  DABM Eigenmode Equation:** Marginal Stability Condition

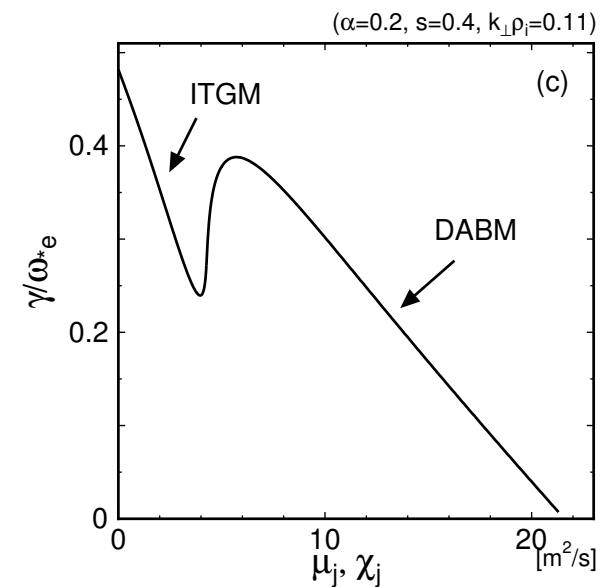
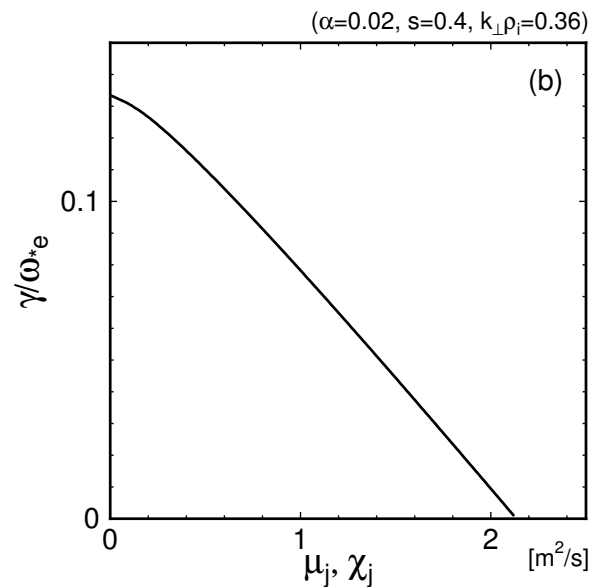
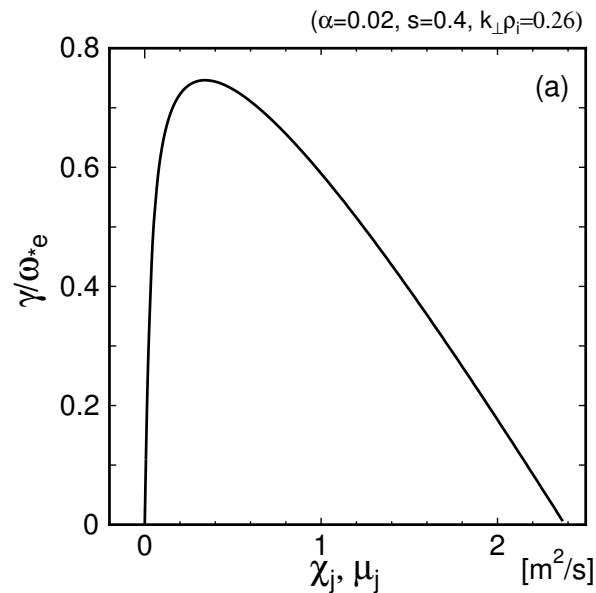
$$\frac{\partial^2 \phi}{\partial \xi^2} - \mu_i \chi_i \frac{\tau_{AP}^2 c^2 m^6 f^3}{r^6 \omega_{pi}^2} \phi + \frac{m^2 c^2 \alpha_i}{2 r^2 \omega_{pi}^2} H(\xi) \phi = 0$$

- **Eigenmode equations for CDBM and DABM are similar.**

# Nonlinear Analysis (Toroidal Plasma)

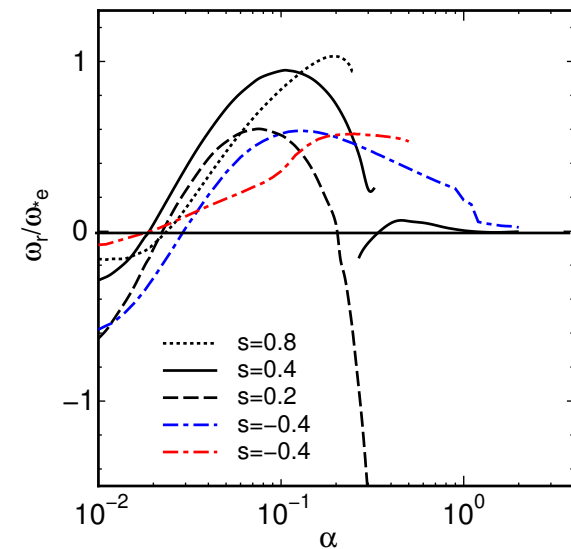
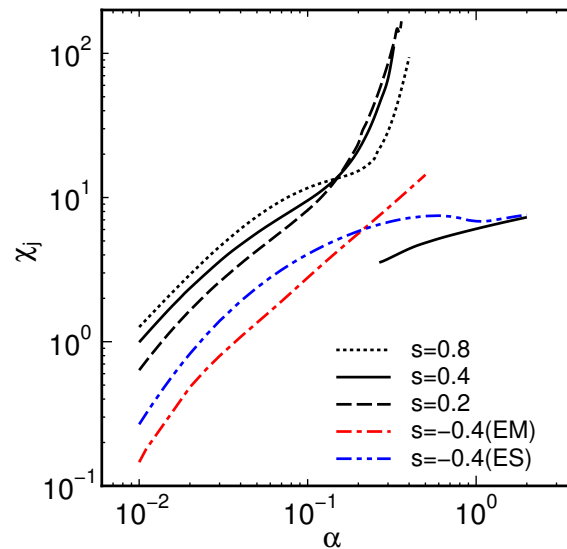
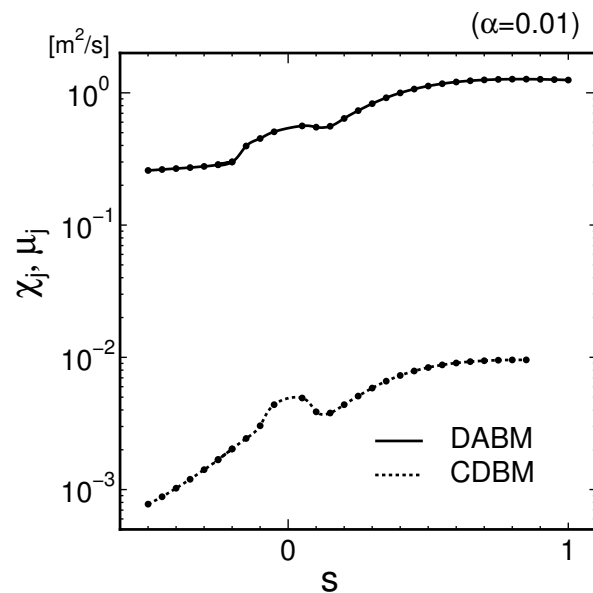
- **Amplitude Dependence of the Growth Rate**

- Linear growth rate ( $\chi_j = 0$ ) is sensitive to  $k_{\perp}\rho_i$ .
- For large  $\alpha$ , electromagnetic effect becomes important.
- Saturation level can be estimated from the marginal condition.

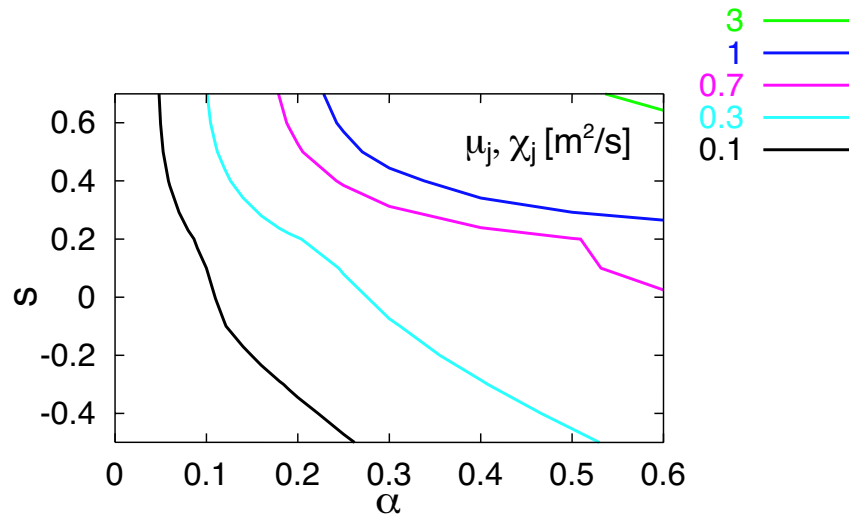


- Dependence on  $s$  and  $\alpha$

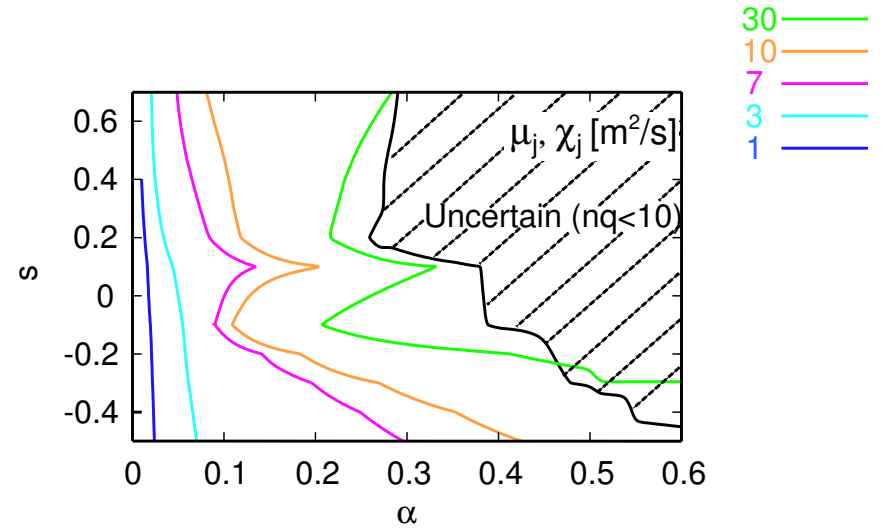
- Transport of DABM is much larger than that of CDBM.
- Negative magnetic shear reduces the transport.
- $\chi$  is proportional to  $\alpha^{3/2}$  for small  $\alpha$ .
- There exists critical  $\alpha$  above which transport is strongly enhanced.



• Contour of  $\chi$  on  $s$ - $\alpha$  plane



CDBM



DABM

# DABM Turbulence Model

- **Low  $\beta$  DABM Eigenmode Equation:** ( $\gamma = 0$ )

$$\frac{\partial^2 \phi}{\partial \xi^2} - \mu_i \chi_i \frac{\tau_{AP}^2 c^2 m^6 f^3}{r^6 \omega_{pi}^2} \phi + \frac{m^2 c^2 \alpha_i}{2r^2 \omega_{pi}^2} H(\xi) \phi = 0$$

- **Marginal Stability Condition**

$$\chi_{\text{DABM}} = F(s, \alpha, \kappa) \alpha \frac{c^2}{\omega_{pe}^2} \frac{v_{Te}}{qR}$$

**Magnetic shear**

$$s \equiv \frac{r}{q} \frac{dq}{dr}$$

**Pressure gradient**

$$\alpha \equiv -q^2 R \frac{d\beta}{dr}$$

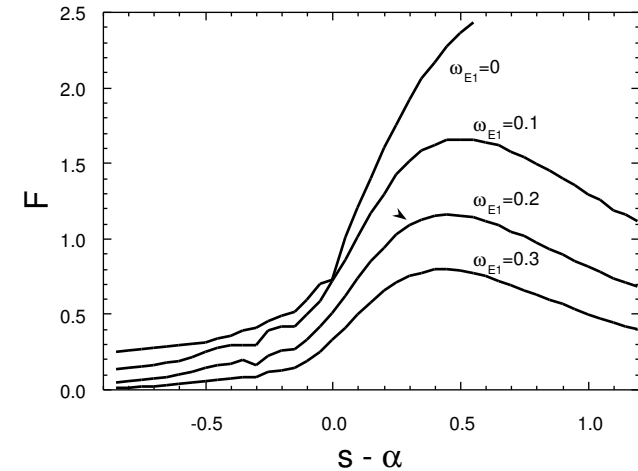
**Magnetic curvature**

$$\kappa \equiv -\frac{r}{R} \left(1 - \frac{1}{q^2}\right)$$

◦ Different gradient dependence with CDBM

- **Weak and negative magnetic shear and Shafranov shift reduce  $\chi$ .**

$s - \alpha$  dependence of  
 $F(s, \alpha, \kappa, \omega_{E1})$



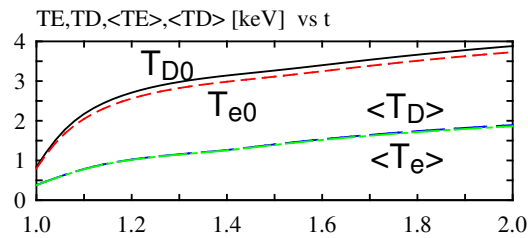
**Fitting Formula**

$$F = \begin{cases} \frac{1}{1 + G_1 \omega_{E1}^2} \frac{1}{\sqrt{2(1 - 2s')(1 - 2s' + 3s'^2)}} & \text{for } s' = s - \alpha < 0 \\ \frac{1}{1 + G_1 \omega_{E1}^2} \frac{1 + 9\sqrt{2}s'^{5/2}}{\sqrt{2(1 - 2s' + 3s'^2 + 2s'^3)}} & \text{for } s' = s - \alpha > 0 \end{cases}$$

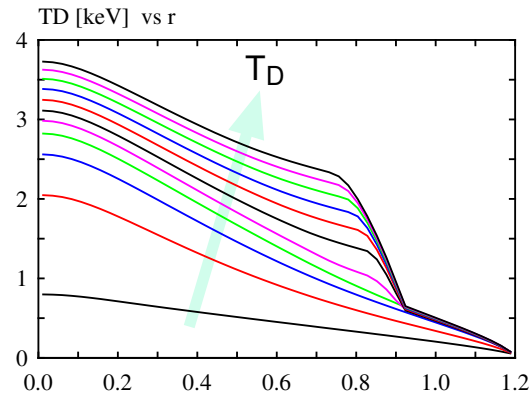
# High $\beta_p$ mode

- Empirical factor of 3 was chosen to reproduce the L-mode scaling.
- $R = 3$  m,  $a = 1.2$  m,  $\kappa = 1.5$ ,  $B_0 = 3$  T,  $I_p = 1.5$  MA
- Time evolution during the first one second after 10 MW heating switched on.

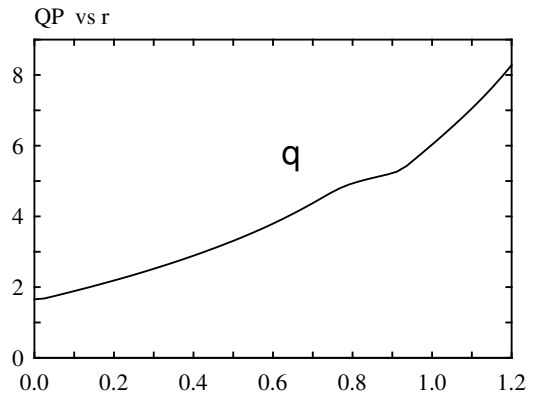
Temperature evolution



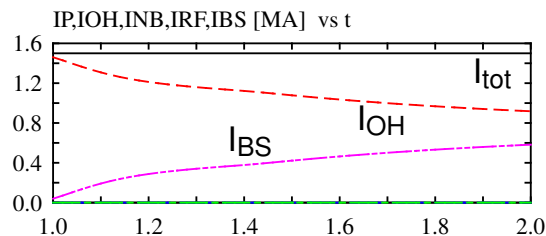
Temperature



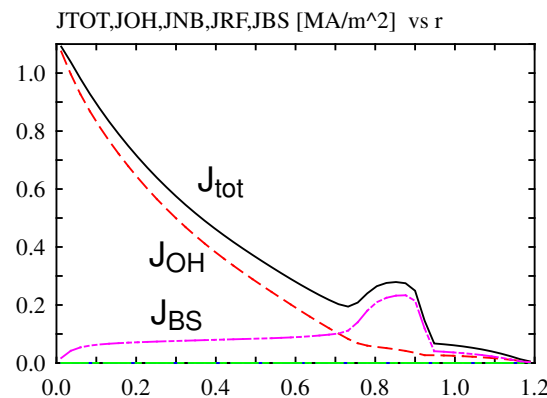
Safety factor



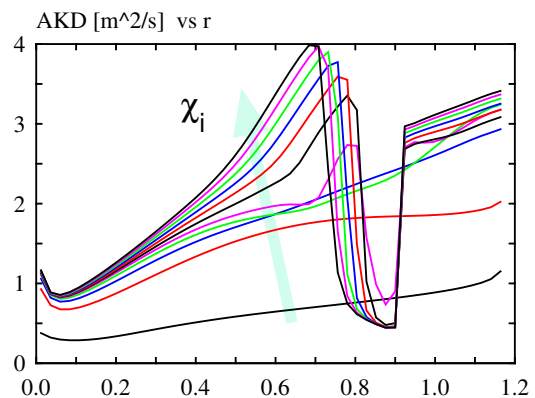
Current evolution



Current density



Thermal diffusivity



# Summary

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- In order to describe both the electrostatic ion temperature gradient (**ITG**) mode and the electromagnetic current diffusive ballooning mode (**CDBM**), we have derived a set of reduced two-fluid equations in both slab and toroidal configurations and numerically solved them as an eigenvalue problem.
- Linear analysis in a toroidal configuration describes a ballooning mode, which we call **DABM** (Drift Alfvén Ballooning Mode).
  - Small pressure gradient: Toroidal ITG mode
  - Large pressure gradient: Ballooning mode with stabilizing  $\omega_*$
- Based on the theory of self-sustained turbulence, we have **numerically calculated the transport coefficients** from the marginal stability condition.
  - When  $\alpha$  is small,  $\chi$  is approximately proportional to  $\alpha^{3/2}$ .
  - $\chi$  is an increasing function of  $s - \alpha$ ; similar to CDBM model which successfully reproduces the ITB formation.

- When  $\alpha$  exceeds a critical value,  $\chi$  starts to increase strongly with  $\alpha$ , which may suggest the stiffness of the profile
- Using an electrostatic approximation, **we have derived a formula of thermal diffusivity** slightly different from the CDBM model.
- **Preliminary transport simulation reproduces the formation of ITB.** The barrier locates in outer region and the gradient is steeper than the CDBM model.
- **More general expression is required for high- $\beta$  electromagnetic re-gion.**



# CDBM Turbulence Model

- **Marginal Stability Condition** ( $\gamma = 0$ )

$$\chi_{\text{CDBM}} = F(s, \alpha, \kappa, \omega_{E1}) \alpha^{3/2} \frac{c^2}{\omega_{pe}^2} \frac{v_A}{qR}$$

Magnetic shear

$$s \equiv \frac{r}{q} \frac{dq}{dr}$$

Pressure gradient

$$\alpha \equiv -q^2 R \frac{d\beta}{dr}$$

Magnetic curvature

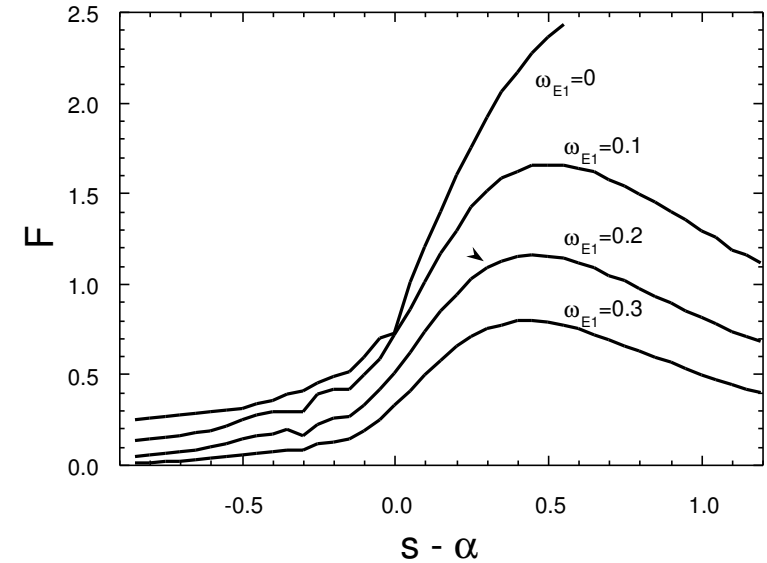
$$\kappa \equiv -\frac{r}{R} \left(1 - \frac{1}{q^2}\right)$$

$E \times B$  rotation shear

$$\omega_{E1} \equiv \frac{r^2}{sv_A} \frac{d}{dr} \frac{E}{rB}$$

- **Weak and negative magnetic shear,  
Shafranov shift and  
 $E \times B$  rotation shear  
reduce thermal diffusivity.**

$s - \alpha$  dependence of  
 $F(s, \alpha, \kappa, \omega_{E1})$



**Fitting Formula**

$$F = \begin{cases} \frac{1}{1 + G_1 \omega_{E1}^2} \frac{1}{\sqrt{2(1 - 2s')(1 - 2s' + 3s'^2)}} & \text{for } s' = s - \alpha < 0 \\ \frac{1}{1 + G_1 \omega_{E1}^2} \frac{1 + 9\sqrt{2}s'^{5/2}}{\sqrt{2(1 - 2s' + 3s'^2 + 2s'^3)}} & \text{for } s' = s - \alpha > 0 \end{cases}$$

# Heat Transport Simulation

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- **Simple One-Dimensional Analysis**

- No impurity, No neutral, No sawtooth
- Fixed density profile:  $n_e(r) \propto (1 - r^2/a^2)^{1/2}$
- Thermal diffusivity (adjustable parameter  $C = 12$ )

$$\chi_e = C\chi_{\text{TB}} + \chi_{\text{NC},e}$$

$$\chi_i = C\chi_{\text{TB}} + \chi_{\text{NC},i}$$

- **Transport Equation**

$$\frac{\partial}{\partial t} \frac{3}{2} n_e T_e = -\frac{1}{r} \frac{\partial}{\partial r} r n_e \chi_e \frac{\partial T_e}{\partial r} + P_{\text{OH}} + P_{\text{ie}} + P_{\text{He}}$$

$$\frac{\partial}{\partial t} \frac{3}{2} n_i T_i = -\frac{1}{r} \frac{\partial}{\partial r} r n_i \chi_i \frac{\partial T_i}{\partial r} - P_{\text{ie}} + P_{\text{Hi}}$$

$$\frac{\partial}{\partial t} B_\theta = \frac{\partial}{\partial r} \eta_{\text{NC}} \left[ \frac{1}{\mu_0} \frac{1}{r} \frac{\partial}{\partial r} r B_\theta - J_{\text{BS}} - J_{\text{LH}} \right]$$

- **Standard Plasma Parameter**

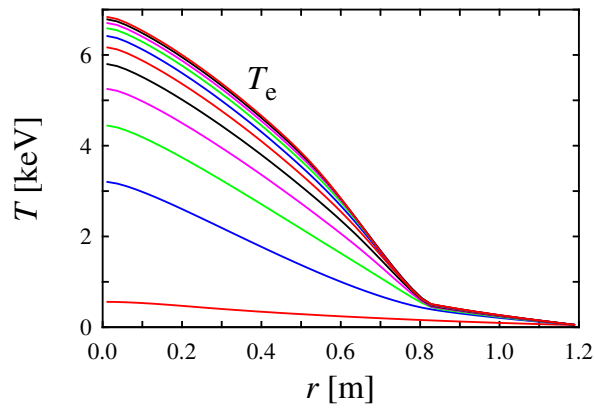
$$R = 3 \text{ m} \quad B_t = 3 \text{ T} \quad \text{Elongation} = 1.5$$

$$a = 1.2 \text{ m} \quad I_p = 3 \text{ MA} \quad n_{e0} = 5 \times 10^{19} \text{ m}^{-3}$$

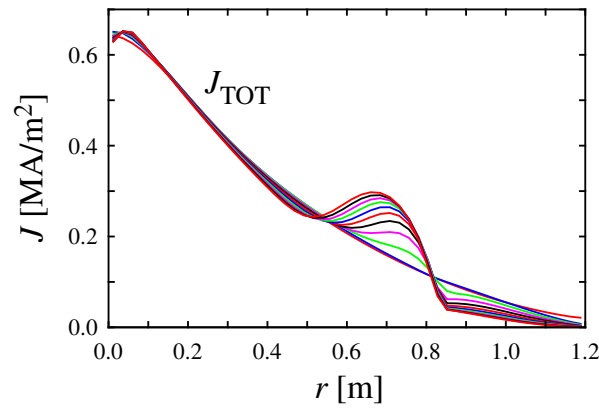
# High $\beta_p$ mode (1)

- $R = 3\text{ m}$ ,  $a = 1.2\text{ m}$ ,  $\kappa = 1.5$ ,  $B_0 = 3\text{ T}$ ,  $I_p = 1\text{ MA}$
- Time evolution during the first one second after heating switched on

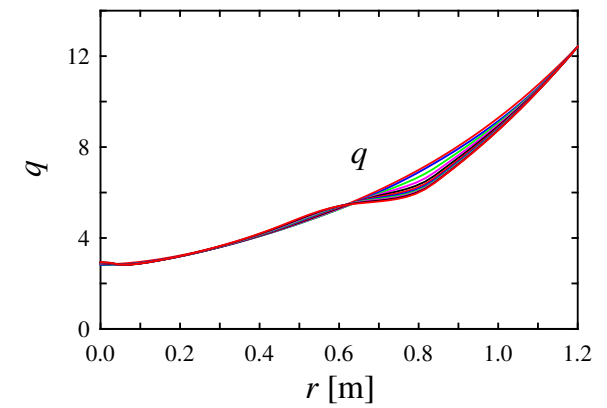
Temperater



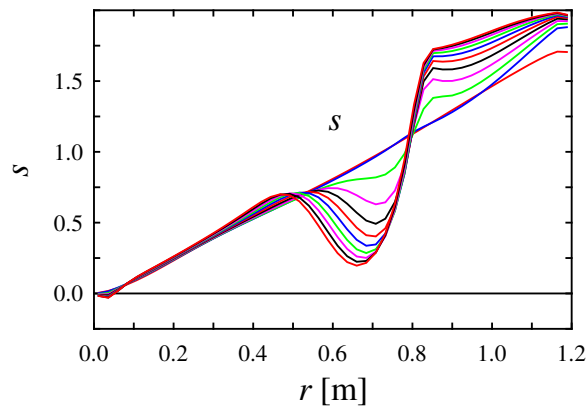
Current



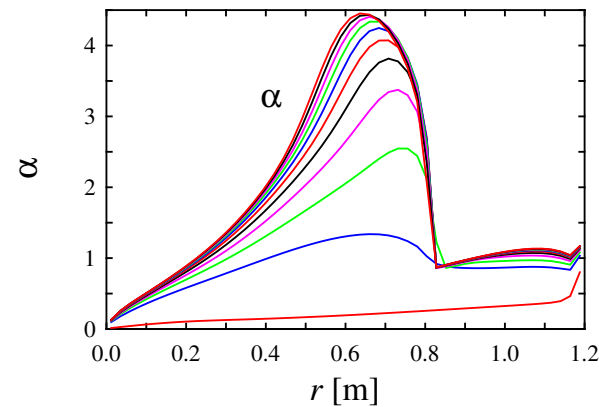
Safety factor



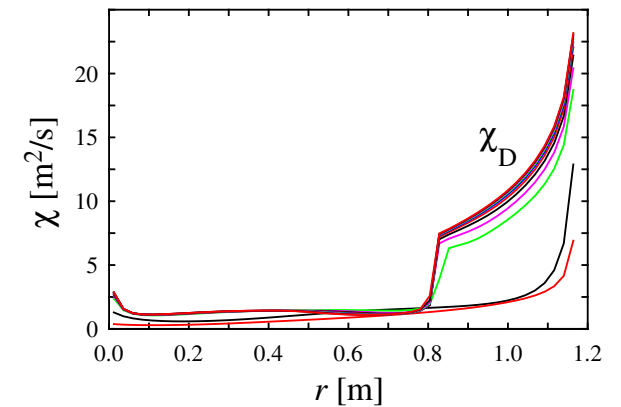
Shear



Normalized Pressure



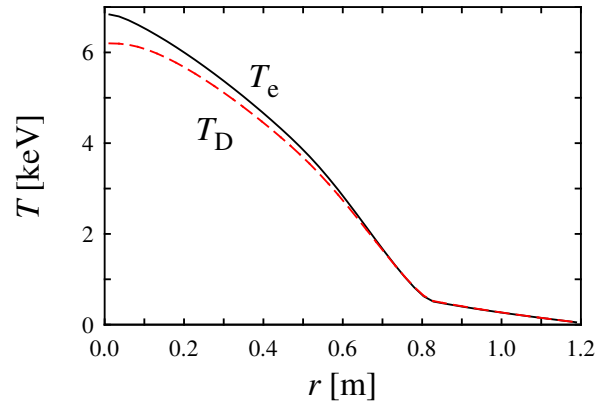
Thermal diffusivity



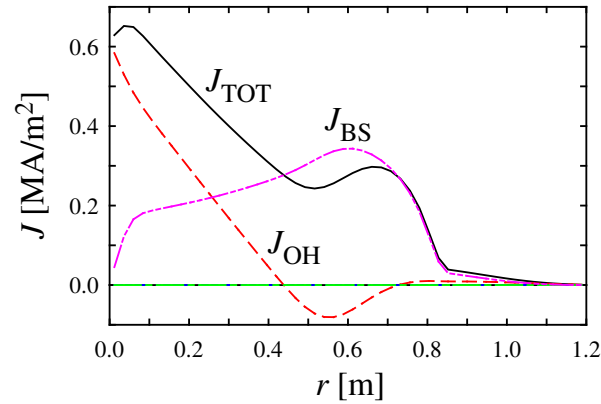
# High $\beta_p$ mode (2)

- One second after heating power of  $P_H = 20 \text{ MW}$  was switched on

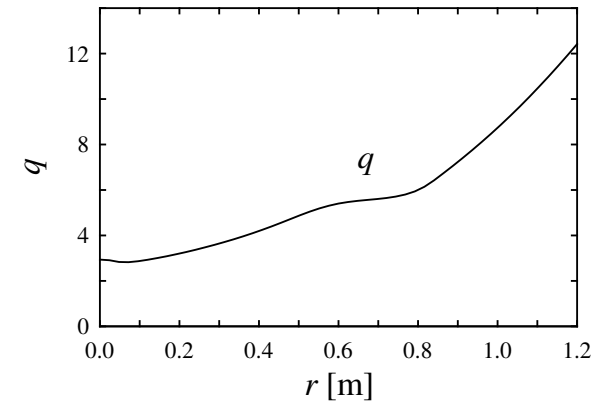
Temperature profile



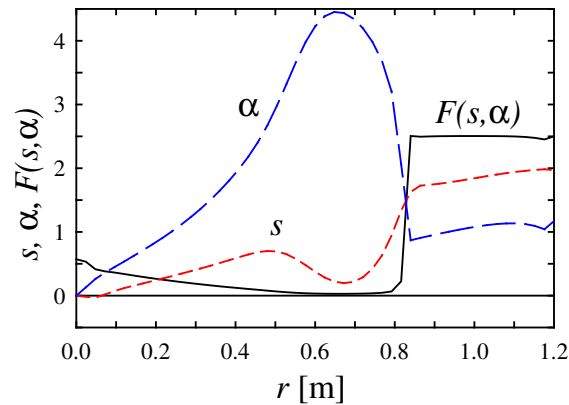
Current profile



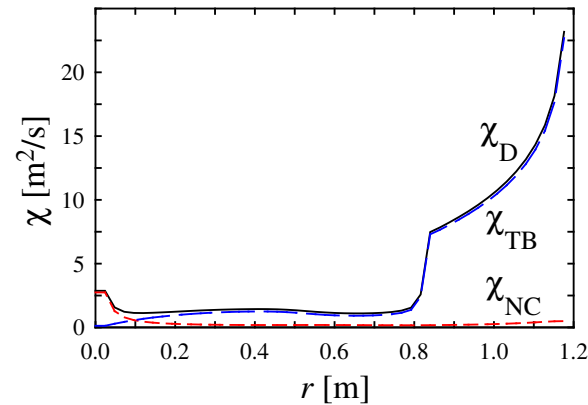
Safety factor



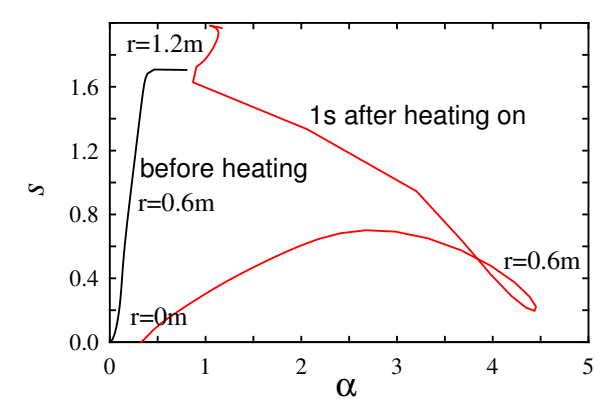
Shear and pressure



Thermal diffusivity

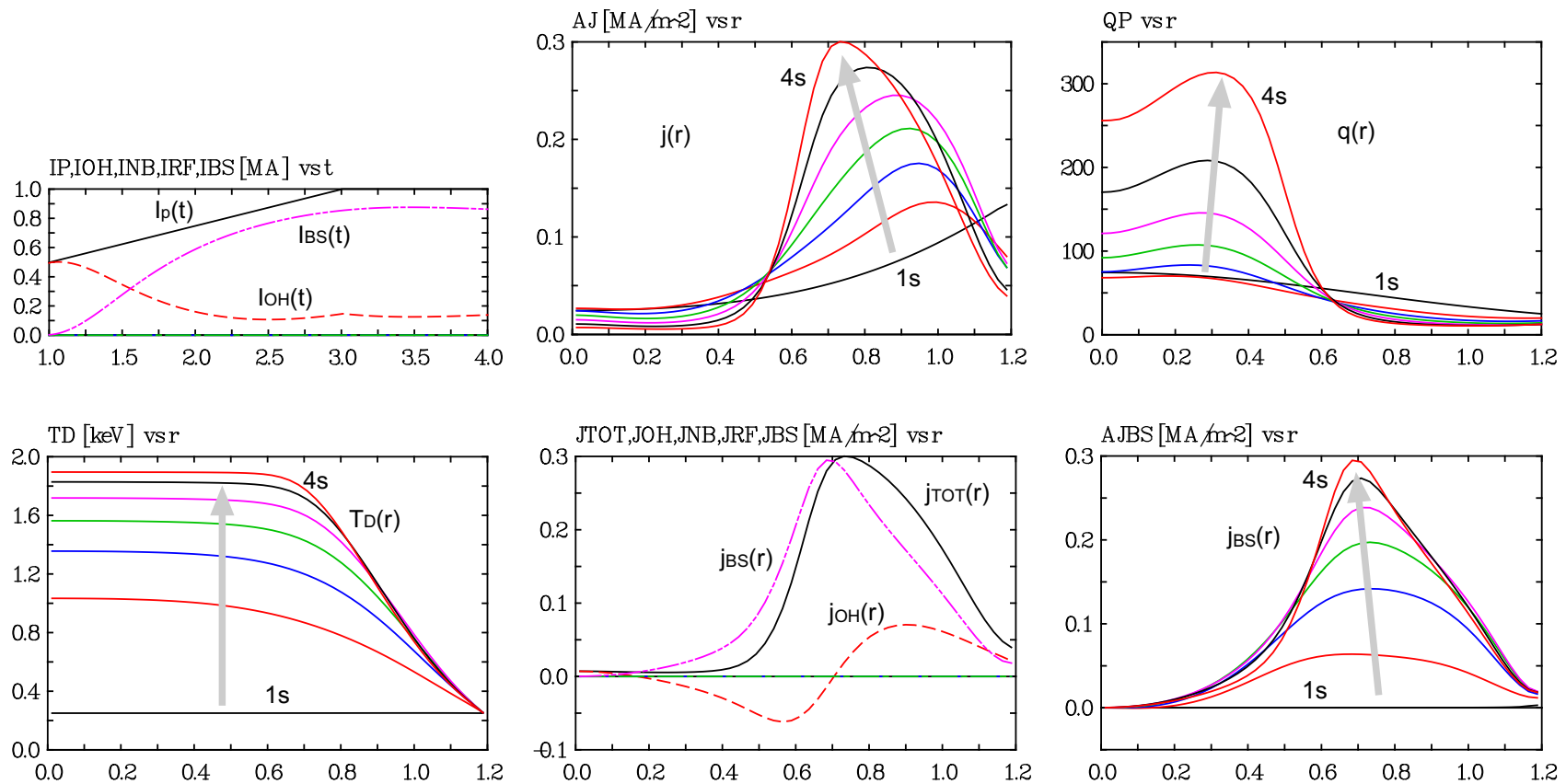


$s - \alpha$  diagram



# Simulation of Current Hole Formation

- Current ramp up:  $I_p = 0.5 \rightarrow 1.0$  MA
- Moderate heating:  $P_H = 5$  MW
- **Current hole** is formed.
- The formation is sensitive to the edge temperature.



# Simulation of Reversed Shear Configuration

$I_p$  : 3 MA constant

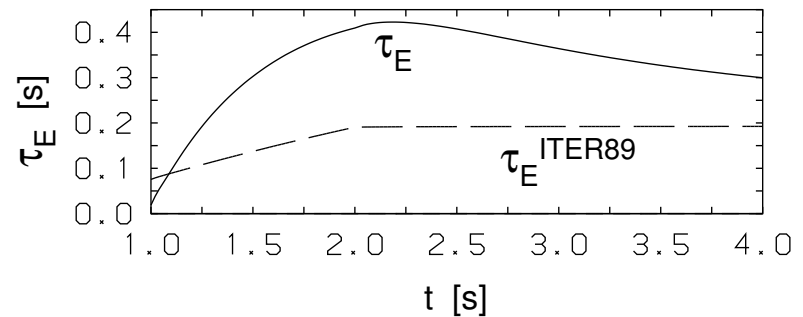
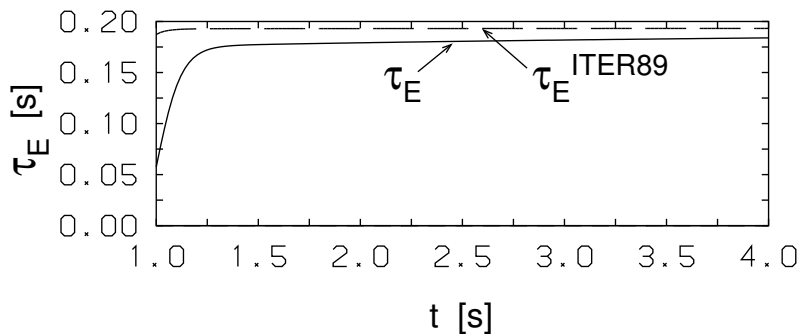
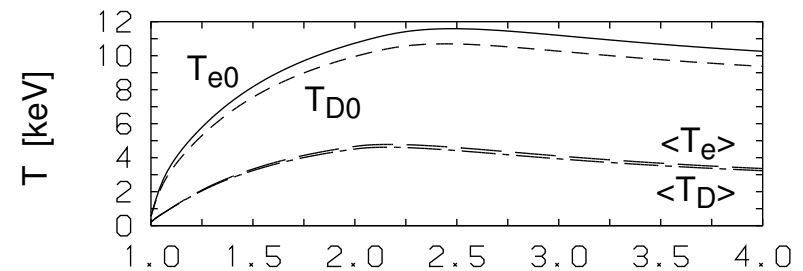
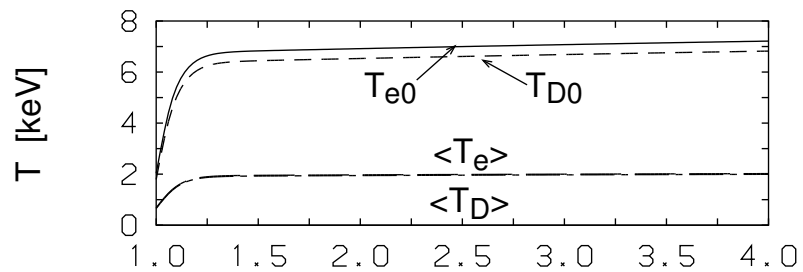
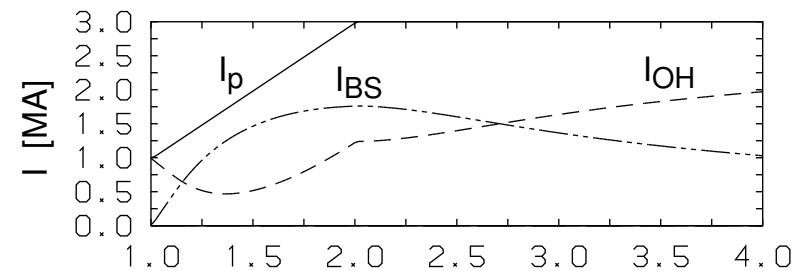
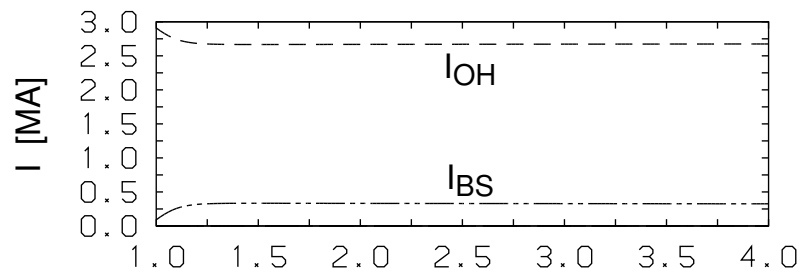
Heating : 20 MW

H factor  $\simeq 0.95$

$I_p$  : 1 MA  $\rightarrow$  3 MA/1 s

Heating : 20 MW

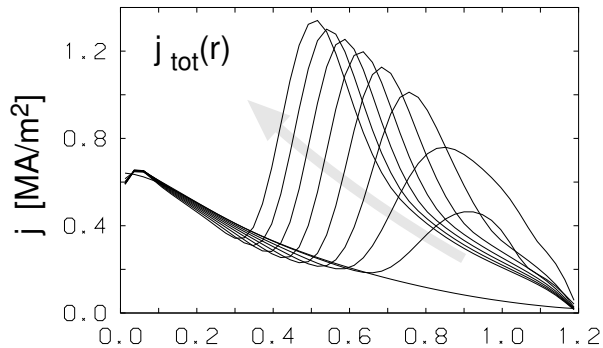
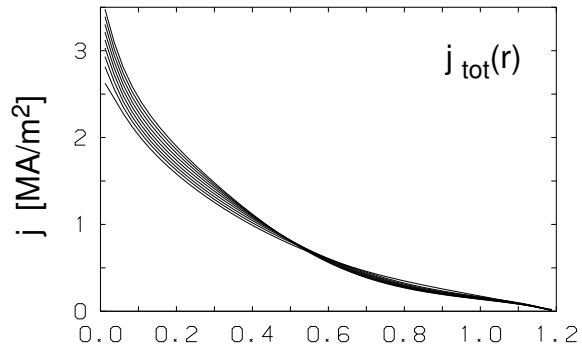
H factor  $\simeq 1.6$



# Evolution of Reversed Shear Configuration

$I_p$  : 3 MA constant

$I_p$  : 1 MA  $\longrightarrow$  3 MA/1 s



$t = 5$  s

